



General Assembly

January Session, 2013

## ***Amendment***

LCO No. 8418

**\*HB0658008418HD0\***

Offered by:

REP. JUTILA, 37<sup>th</sup> Dist.

To: House Bill No. 6580

File No. 467

Cal. No. 303

### ***"AN ACT CONCERNING FAILURE TO FILE A REPORT OF AN INDEPENDENT EXPENDITURE."***

1 Strike everything after the enacting clause and substitute the  
2 following in lieu thereof:

3 "Section 1. Section 9-601 of the general statutes is repealed and the  
4 following is substituted in lieu thereof (*Effective from passage*):

5 As used in this chapter and chapter 157 and sections 8, 11 and 12 of  
6 this act:

7 (1) "Committee" means a party committee, political committee or a  
8 candidate committee organized, as the case may be, for a single  
9 primary, election or referendum, or for ongoing political activities, to  
10 aid or promote the success or defeat of any political party, any one or  
11 more candidates for public office or the position of town committee  
12 member or any referendum question.

13 (2) "Party committee" means a state central committee or a town

14 committee. "Party committee" does not mean a party-affiliated or  
15 district, ward or borough committee which receives all of its funds  
16 from the state central committee of its party or from a single town  
17 committee with the same party affiliation. Any such committee so  
18 funded shall be construed to be a part of its state central or town  
19 committee for purposes of this chapter and chapter 157.

20 (3) "Political committee" means (A) a committee organized by a  
21 business entity or organization, (B) persons other than individuals, or  
22 two or more individuals organized or acting jointly conducting their  
23 activities in or outside the state, (C) an exploratory committee, (D) a  
24 committee established by or on behalf of a slate of candidates in a  
25 primary for the office of justice of the peace, but does not mean a  
26 candidate committee or a party committee, (E) a legislative caucus  
27 committee, or (F) a legislative leadership committee.

28 (4) "Candidate committee" means any committee designated by a  
29 single candidate, or established with the consent, authorization or  
30 cooperation of a candidate, for the purpose of a single primary or  
31 election and to aid or promote such candidate's candidacy alone for a  
32 particular public office or the position of town committee member, but  
33 does not mean a political committee or a party committee. For  
34 purposes of this chapter, "candidate committee" includes candidate  
35 committees for participating and nonparticipating candidates, unless  
36 the context of a provision clearly indicates otherwise.

37 (5) "Exploratory committee" means a committee established by a  
38 candidate for a single primary or election (A) to determine whether to  
39 seek nomination or election to (i) the General Assembly, (ii) a state  
40 office, as defined in subsection (e) of section 9-610, or (iii) any other  
41 public office, and (B) if applicable, to aid or promote such candidate's  
42 candidacy for nomination to the General Assembly or any such state  
43 office.

44 (6) "National committee" means the organization which according to  
45 the bylaws of a political party is responsible for the day-to-day

46 operation of the party at the national level.

47 (7) "Organization" means all labor organizations, (A) as defined in  
48 the Labor-Management Reporting and Disclosure Act of 1959, as from  
49 time to time amended, or (B) as defined in subdivision (9) of section  
50 31-101, employee organizations as defined in subsection (d) of section  
51 5-270 and subdivision (6) of section 7-467, bargaining representative  
52 organizations for teachers, any local, state or national organization, to  
53 which a labor organization pays membership or per capita fees, based  
54 upon its affiliation or membership, and trade or professional  
55 associations which receive their funds exclusively from membership  
56 dues, whether organized in or outside of this state, but does not mean  
57 a candidate committee, party committee or a political committee.

58 (8) "Business entity" means the following, whether organized in or  
59 outside of this state: Stock corporations, banks, insurance companies,  
60 business associations, bankers associations, insurance associations,  
61 trade or professional associations which receive funds from  
62 membership dues and other sources, partnerships, joint ventures,  
63 private foundations, as defined in Section 509 of the Internal Revenue  
64 Code of 1986, or any subsequent corresponding internal revenue code  
65 of the United States, as from time to time amended; trusts or estates;  
66 corporations organized under sections 38a-175 to 38a-192, inclusive,  
67 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and  
68 chapters 594 to 597, inclusive; cooperatives, and any other association,  
69 organization or entity which is engaged in the operation of a business  
70 or profit-making activity; but does not include professional service  
71 corporations organized under chapter 594a and owned by a single  
72 individual, nonstock corporations which are not engaged in business  
73 or profit-making activity, organizations, as defined in subdivision (7)  
74 of this section, candidate committees, party committees and political  
75 committees as defined in this section. For purposes of this chapter,  
76 corporations which are component members of a controlled group of  
77 corporations, as those terms are defined in Section 1563 of the Internal  
78 Revenue Code of 1986, or any subsequent corresponding internal

79 revenue code of the United States, as from time to time amended, shall  
80 be deemed to be one corporation.

81 (9) "Individual" means a human being, a sole proprietorship, or a  
82 professional service corporation organized under chapter 594a and  
83 owned by a single human being.

84 (10) "Person" means an individual, committee, firm, partnership,  
85 organization, association, syndicate, company trust, corporation,  
86 limited liability company or any other legal entity of any kind but does  
87 not mean the state or any political or administrative subdivision of the  
88 state.

89 (11) "Candidate" means an individual who seeks nomination for  
90 election or election to public office whether or not such individual is  
91 elected, and for the purposes of this chapter and chapter 157, an  
92 individual shall be deemed to seek nomination for election or election  
93 if such individual has (A) been endorsed by a party or become eligible  
94 for a position on the ballot at an election or primary, or (B) solicited or  
95 received contributions, other than for a party committee, made  
96 expenditures or given such individual's consent to any other person,  
97 other than a party committee, to solicit or receive contributions or  
98 make expenditures with the intent to bring about such individual's  
99 nomination for election or election to any such office. "Candidate" also  
100 means a slate of candidates which is to appear on the ballot in a  
101 primary for the office of justice of the peace. For the purposes of  
102 sections 9-600 to 9-610, inclusive, as amended by this act, and section 9-  
103 621, as amended by this act, "candidate" also means an individual who  
104 is a candidate in a primary for town committee members.

105 (12) ["Campaign treasurer"] "Treasurer" means the individual  
106 appointed by a candidate or by the chairperson of a party committee  
107 or a political committee to receive and disburse funds on behalf of the  
108 candidate or committee.

109 (13) "Deputy [campaign] treasurer" means the individual appointed

110 by the candidate or by the chairperson of a committee to serve in the  
111 capacity of the [campaign] treasurer if the [campaign] treasurer is  
112 unable to perform the [campaign] treasurer's duties.

113 (14) "Solicitor" means an individual appointed by a [campaign]  
114 treasurer of a committee to receive, but not to disburse, funds on  
115 behalf of the committee.

116 (15) "Referendum question" means a question to be voted upon at  
117 any election or referendum, including a proposed constitutional  
118 amendment.

119 (16) "Lobbyist" means a lobbyist, as defined in section 1-91, and  
120 "communicator lobbyist" means a communicator lobbyist, as defined  
121 in section 1-91, and "client lobbyist" means a client lobbyist, as defined  
122 in section 1-91.

123 (17) "Business with which he is associated" means any business in  
124 which the contributor is a director, officer, owner, limited or general  
125 partner or holder of stock constituting five per cent or more of the total  
126 outstanding stock of any class. Officer refers only to the president,  
127 executive or senior vice-president or treasurer of such business.

128 (18) "Agent" means a person authorized to act for or in place of  
129 another.

130 (19) "Entity" means the following, whether organized in this or any  
131 other state: An organization, corporation, whether for-profit or not-for-  
132 profit, cooperative association, limited partnership, professional  
133 association, limited liability company [,] and limited liability  
134 partnership. "Entity" includes any tax-exempt organization under  
135 Section 501(c) of the Internal Revenue Code of 1986, or any subsequent  
136 corresponding internal revenue code of the United States, as amended  
137 from time to time, and any tax-exempt political organization organized  
138 under Section 527 of said code.

139 (20) "Federal account" means a depository account that is subject to

140 the disclosure and contribution limits provided under the Federal  
141 Election Campaign Act of 1971, as amended from time to time.

142 (21) "Public funds" means funds belonging to, or under the control  
143 of, the state or a political subdivision of the state.

144 (22) "Legislative caucus committee" means a committee established  
145 under subdivision (2) of subsection (e) of section 9-605 by the majority  
146 of the members of a political party who are also state representatives  
147 or state senators.

148 (23) "Legislative leadership committee" means a committee  
149 established under subdivision (3) of subsection (e) of section 9-605, as  
150 amended by this act, by a leader of the General Assembly.

151 (24) "Immediate family" means the spouse or a dependent child of  
152 an individual.

153 (25) "Organization expenditure" means an expenditure by a party  
154 committee, legislative caucus committee or legislative leadership  
155 committee for the benefit of a candidate or candidate committee for:

156 (A) The preparation, display or mailing or other distribution of a  
157 party candidate listing. As used in this subparagraph, "party candidate  
158 listing" means any communication that meets the following criteria: (i)  
159 The communication lists the name or names of candidates for election  
160 to public office, (ii) the communication is distributed through public  
161 advertising such as broadcast stations, cable television, newspapers or  
162 similar media, or through direct mail, telephone, electronic mail,  
163 publicly accessible sites on the Internet or personal delivery, [(iii) the  
164 treatment of all candidates in the communication is substantially  
165 similar, and (iv) the content of the communication is limited to (I) for  
166 each such candidate, identifying information, including photographs,  
167 the office sought, the office currently held by the candidate, if any, the  
168 party enrollment of the candidate, a brief statement concerning the  
169 candidate's positions, philosophy, goals, accomplishments or  
170 biography and the positions, philosophy, goals or accomplishments of

171 the candidate's party, (II) encouragement to vote for each such  
172 candidate, and (III) information concerning voting, including voting  
173 hours and locations] and (iii) the communication is made to promote  
174 the success or defeat of any candidate or slate of candidates seeking  
175 the nomination for election, or election or for the purpose of aiding or  
176 promoting the success or defeat of any referendum question or the  
177 success or defeat of any political party, provided such communication  
178 is not a solicitation for or on behalf of a candidate committee;

179 (B) A document in printed or electronic form, including a party  
180 platform, an electronic page providing merchant account services to be  
181 used by a candidate for the collection of on-line contributions, a copy  
182 of an issue paper, information pertaining to the requirements of this  
183 title, a list of registered voters and voter identification information,  
184 which document is created or maintained by a party committee,  
185 legislative caucus committee or legislative leadership committee for  
186 the general purposes of party or caucus building and is provided (i) to  
187 a candidate who is a member of the party that has established such  
188 party committee, or (ii) to a candidate who is a member of the party of  
189 the caucus or leader who has established such legislative caucus  
190 committee or legislative leadership committee, whichever is  
191 applicable;

192 (C) A campaign event at which a candidate or candidates are  
193 present; or

194 (D) The retention of the services of an advisor to provide assistance  
195 relating to campaign organization, financing, accounting, strategy, law  
196 or media. ]; or]

197 [(E) The use of offices, telephones, computers and similar  
198 equipment which does not result in additional cost to the party  
199 committee, legislative caucus committee or legislative leadership  
200 committee.]

201 (26) "Solicit" means (A) requesting that a contribution be made, (B)

202 participating in any [fund-raising] fundraising activities for a  
203 candidate committee, exploratory committee, political committee or  
204 party committee, including, but not limited to, forwarding tickets to  
205 potential contributors, receiving contributions for transmission to any  
206 such committee, serving on the committee that is hosting a fundraising  
207 event, introducing the candidate or making other public remarks at a  
208 fundraising event, being honored or otherwise recognized at a  
209 fundraising event, or bundling contributions, (C) serving as  
210 chairperson, treasurer or deputy treasurer of any such committee, or  
211 (D) establishing a political committee for the sole purpose of soliciting  
212 or receiving contributions for any committee. "Solicit" does not include  
213 (i) making a contribution that is otherwise permitted under this  
214 chapter, (ii) informing any person of a position taken by a candidate  
215 for public office or a public official, (iii) notifying the person of any  
216 activities of, or contact information for, any candidate for public office,  
217 [or] (iv) serving as a member in any party committee or as an officer of  
218 such committee that is not otherwise prohibited in this subdivision, or  
219 (v) mere attendance at a fundraiser.

220 (27) "Bundle" means the forwarding of five or more contributions to  
221 a single committee by a communicator lobbyist, an agent of such  
222 lobbyist, or a member of the immediate family of such lobbyist, or  
223 raising contributions for a committee at a fund-raising affair held by,  
224 sponsored by, or hosted by a communicator lobbyist or an agent of  
225 such lobbyist, or a member of the immediate family of such lobbyist.

226 (28) "Slate committee" means a political committee formed by two or  
227 more candidates for nomination or election to any municipal office in  
228 the same town, city or borough, or in a primary for the office of justice  
229 of the peace or the position of town committee member, whenever  
230 such political committee will serve as the sole funding vehicle for the  
231 candidates' campaigns.

232 (29) (A) "Covered transfer" means any donation, transfer or  
233 payment of funds by a person to another person if the person receiving  
234 the donation, transfer or payment makes independent expenditures or



235 transfers funds to another person who makes independent  
236 expenditures.

237 (B) The term "covered transfer" does not include:

238 (i) A donation, transfer or payment made by a person in the  
239 ordinary course of any trade or business;

240 (ii) A donation, transfer or payment made by a person, if the person  
241 making the donation, transfer or payment prohibited the use of such  
242 donation, transfer or payment for an independent expenditure or a  
243 covered transfer and the recipient of the donation, transfer or payment  
244 agreed to follow the prohibition and deposited the donation, transfer  
245 or payment in an account which is segregated from any account used  
246 to make independent expenditures or covered transfers.

247 (iii) Dues, fees or assessments that are transferred between affiliated  
248 entities and paid by individuals on a regular, periodic basis in  
249 accordance with a per-individual calculation that is made on a regular  
250 basis.

251 (iv) For purposes of this subdivision, "affiliated" means (I) the  
252 governing instrument of the entity requires it to be bound by decisions  
253 of the other entity; (II) the governing board of the entity includes  
254 persons who are specifically designated representatives of the other  
255 entity or who are members of the governing board, officers, or paid  
256 executive staff members of the other entity, or whose service on the  
257 governing board is contingent upon the approval of the other entity; or  
258 (III) the entity is chartered by the other entity. "Affiliated" includes  
259 entities that are an affiliate of the other entity or where both of the  
260 entities are an affiliate of the same entity.

261 (30) "Party building activity" includes, but is not limited to, any  
262 political meeting, conference, convention, and other event, attendance  
263 or involvement at which promotes or advances the interests of a party  
264 at a local, state or national level, and any associated expenses,  
265 including travel, lodging, and any admission fees or other costs,

266 whether or not any such meeting, conference, convention, or other  
267 event is sponsored by the party.

268 (31) "Social media" means an electronic medium where users may  
269 create and view user-generated content, such as uploaded or  
270 downloaded videos or still photographs, blogs, video blogs, podcasts  
271 or instant messages.

272 Sec. 2. Section 9-601a of the general statutes is repealed and the  
273 following is substituted in lieu thereof (*Effective from passage*):

274 (a) As used in this chapter and chapter 157, "contribution" means:

275 (1) Any gift, subscription, loan, advance, payment or deposit of  
276 money or anything of value, made [for the purpose of influencing] to  
277 promote the success or defeat of any candidate seeking the nomination  
278 for election, or election [, of any person] or for the purpose of aiding or  
279 promoting the success or defeat of any referendum question or [on  
280 behalf] the success or defeat of any political party;

281 (2) A written contract, promise or agreement to make a contribution  
282 for any such purpose;

283 (3) The payment by any person, other than a candidate or  
284 [campaign] treasurer, of compensation for the personal services of any  
285 other person which are rendered without charge to a committee or  
286 candidate for any such purpose;

287 (4) An expenditure that is not an independent expenditure; or

288 (5) Funds received by a committee which are transferred from  
289 another committee or other source for any such purpose.

290 (b) As used in this chapter and chapter 157, "contribution" does not  
291 mean:

292 (1) A loan of money made in the ordinary course of business by a  
293 national or state bank;

294 (2) Any communication made by a corporation, organization or  
295 association solely to its members, owners, stockholders, executive or  
296 administrative personnel, or their families;

297 (3) Nonpartisan voter registration and get-out-the-vote campaigns  
298 by any corporation, organization or association aimed at its members,  
299 owners, stockholders, executive or administrative personnel, or their  
300 families;

301 (4) Uncompensated services provided by individuals volunteering  
302 their time on behalf of a party committee, political committee, slate  
303 committee or candidate committee, including any services provided  
304 for the benefit of nonparticipating and participating candidates under  
305 the Citizens' Election Program and any unreimbursed travel expenses  
306 made by an individual who volunteers the individual's personal  
307 services to any such committee. For purposes of this subdivision, an  
308 individual is a volunteer if such individual is not receiving  
309 compensation for such services regardless of whether such individual  
310 received compensation in the past or may receive compensation [in the  
311 future for such services] for similar services that may be performed in  
312 the future;

313 (5) The use of real or personal property, [and] a portion or all of the  
314 cost of invitations [.] and the cost of food or beverages, voluntarily  
315 provided by an individual to a candidate, including a nonparticipating  
316 or participating candidate under the Citizens' Election Program, or to a  
317 party, political or slate committee, in rendering voluntary personal  
318 services at the individual's residential premises or a community room  
319 in the individual's residence facility, to the extent that the cumulative  
320 value of the invitations, food or beverages provided [for any single  
321 event] by an individual on behalf of any candidate or committee does  
322 not exceed four hundred dollars with respect to any [calendar year or  
323 primary or general election, as the case may be, and] single event or  
324 does not exceed eight hundred dollars for any such event hosted by  
325 two or more individuals, provided at least one such individual owns  
326 or resides at the residential premises, and further provided the

327 cumulative value of the invitations, food or beverages provided by an  
328 individual on behalf of any such candidate or committee does not  
329 exceed eight hundred dollars [in any] with respect to a calendar year  
330 or single election, as the case may be;

331 (6) The sale of food or beverage for use by a party, political, slate or  
332 candidate committee, including those for a participating or  
333 nonparticipating candidate, at a discount, if the charge is not less than  
334 the cost to the vendor, to the extent that the cumulative value of the  
335 discount given to or on behalf of any single candidate committee does  
336 not exceed four hundred dollars with respect to any single primary or  
337 election, or to or on behalf of any party, political or slate committee,  
338 does not exceed six hundred dollars in a calendar year;

339 (7) The display of a lawn sign by a human being or on real property;

340 (8) The payment, by a party committee or slate committee of the  
341 costs of preparation, display, mailing or other distribution incurred by  
342 the committee or individual with respect to any printed slate card,  
343 sample ballot or other printed list containing the names of three or  
344 more candidates;

345 (9) The donation of any item of personal property by an individual  
346 to a committee for a fund-raising affair, including a tag sale or auction,  
347 or the purchase by an individual of any such item at such an affair, to  
348 the extent that the cumulative value donated or purchased does not  
349 exceed one hundred dollars;

350 (10) (A) The purchase of advertising space which clearly identifies  
351 the purchaser, in a program for a fund-raising affair sponsored by the  
352 candidate committee of a candidate for an office of a municipality,  
353 provided the cumulative purchase of such space does not exceed two  
354 hundred fifty dollars from any single such candidate or the candidate's  
355 committee with respect to any single election campaign if the  
356 purchaser is a business entity or fifty dollars for purchases by any  
357 other person;

358 (B) The purchase of advertising space which clearly identifies the  
359 purchaser, in a program for a fund-raising affair or on signs at a fund-  
360 raising affair sponsored by a [town] party committee or a political  
361 committee, other than an exploratory committee, provided the  
362 cumulative purchase of such space does not exceed two hundred fifty  
363 dollars from any single [town] party committee or a political  
364 committee, other than an exploratory committee, in any calendar year  
365 if the purchaser is a business entity or fifty dollars for purchases by  
366 any other person. Notwithstanding the provisions of this  
367 subparagraph, the following may not purchase advertising space in a  
368 program for a fund-raising affair or on signs at a fund-raising affair  
369 sponsored by a [town] party committee or a political committee, other  
370 than an exploratory committee: (i) A communicator lobbyist, (ii) a  
371 member of the immediate family of a communicator lobbyist, (iii) a  
372 state contractor, (iv) a prospective state contractor, or (v) a principal of  
373 a state contractor or prospective state contractor. As used in this  
374 subparagraph, "state contractor", "prospective state contractor" and  
375 "principal of a state contractor or prospective state contractor" have the  
376 same meanings as provided in subsection [(g)] (f) of section 9-612, as  
377 amended by this act;

378 (11) The payment of money by a candidate to the candidate's  
379 candidate committee, provided the committee is for a nonparticipating  
380 candidate;

381 (12) The donation of goods or services by a business entity to a  
382 committee for a fund-raising affair, including a tag sale or auction, to  
383 the extent that the cumulative value donated does not exceed two  
384 hundred dollars;

385 (13) The advance of a security deposit by an individual to a  
386 telephone company, as defined in section 16-1, for telecommunications  
387 service for a committee or to another utility company, such as an  
388 electric company, provided the security deposit is refunded to the  
389 individual;

390 (14) The provision of facilities, equipment, technical and managerial  
391 support, and broadcast time by a community antenna television  
392 company, as defined in section 16-1, for community access  
393 programming pursuant to section 16-331a, unless (A) the major  
394 purpose of providing such facilities, equipment, support and time is to  
395 influence the nomination or election of a candidate, or (B) such  
396 facilities, equipment, support and time are provided on behalf of a  
397 political party;

398 (15) The sale of food or beverage by a town committee to an  
399 individual at a town fair, county fair, local festival or similar mass  
400 gathering held within the state, to the extent that the cumulative  
401 payment made by any one individual for such items does not exceed  
402 fifty dollars;

403 (16) An organization expenditure by a party committee, legislative  
404 caucus committee or legislative leadership committee;

405 (17) The donation of food or beverage by an individual for  
406 consumption at a slate, candidate, political committee or party  
407 committee meeting, event or activity that is not a fund-raising affair to  
408 the extent that the cumulative value of the food or beverages donated  
409 by an individual for a single meeting or event does not exceed fifty  
410 dollars; [or]

411 (18) The value associated with the de minimis activity on behalf of a  
412 party committee, political committee, slate committee or candidate  
413 committee, including for activities including, but not limited to, (A) the  
414 creation of electronic or written communications or digital photos or  
415 video as part of an electronic file created on a voluntary basis without  
416 compensation, including, but not limited to, the creation and ongoing  
417 content development and delivery of social media on the Internet or  
418 telephone, including, but not limited to, the sending or receiving of  
419 electronic mail or messages, (B) the posting or display of a candidate's  
420 name or group of candidates' names at a town fair, county fair, local  
421 festival or similar mass gathering by a party committee, [or] (C) the use

422 of personal property or a service that is customarily attendant to the  
423 occupancy of a residential dwelling, or the donation of an item or  
424 items of personal property that are customarily used for campaign  
425 purposes, by an individual, to a candidate committee, provided the  
426 cumulative fair market value of such use of personal property or  
427 service or items of personal property does not exceed one hundred  
428 dollars in the aggregate for any single election or calendar year, as the  
429 case may be; [. For purposes of this subdivision, "social media" means  
430 an electronic medium where users may create and view user-  
431 generated content, such as uploaded or downloaded videos or still  
432 photographs, blogs, video blogs, podcasts or instant messages.]

433 (19) The use of offices, telephones, computers and similar  
434 equipment provided by a party committee, legislative caucus  
435 committee or legislative leadership committee that serve as  
436 headquarters for or are used by such party committee, legislative  
437 caucus committee or legislative leadership committee;

438 (20) A communication, as described in subdivision (7) of subsection  
439 (b) of section 9-601b, as amended by this act;

440 (21) An independent expenditure, as defined in section 9-601c, as  
441 amended by this act;

442 (22) A communication containing an endorsement on behalf of a  
443 candidate for nomination or election to the office of Governor,  
444 Lieutenant Governor, Secretary of the State, State Treasurer, State  
445 Comptroller, Attorney General, state senator or state representative,  
446 from a candidate for the office of Governor, Lieutenant Governor,  
447 Secretary of the State, State Treasurer, State Comptroller, Attorney  
448 General, state senator or state representative, provided the candidate  
449 (A) making the endorsement is unopposed at the time of the  
450 communication, and (B) being endorsed paid for such communication;

451 (23) A communication that is sent by mail to addresses in the district  
452 for which a candidate being endorsed by another candidate pursuant

453 to this subdivision is seeking nomination or election to the office of  
454 state senator or state representative, containing an endorsement on  
455 behalf of such candidate for such nomination or election from a  
456 candidate for the office of state senator or state representative,  
457 provided the candidate (A) making the endorsement is not seeking  
458 election to the office of state senator or state representative for a  
459 district that contains any geographical area shared by the district for  
460 the office to which the endorsed candidate is seeking nomination or  
461 election, and (B) being endorsed paid for such communication; or

462 (24) Campaign training events provided to multiple individuals by  
463 a legislative caucus committee and any associated materials, provided  
464 the cumulative value of such events and materials does not exceed six  
465 thousand dollars in the aggregate for a calendar year.

466 (c) The provisions of subdivision (5) of subsection (b) of this section  
467 concerning the cost of invitations shall not be construed as preventing  
468 the candidate or the party, political or slate committee from paying all  
469 or any portion of such costs, in which case such amount paid by such  
470 candidate or committee shall not count toward the calculation of the  
471 cumulative value of the invitations, food or beverages provided  
472 pursuant to said subdivision (5).

473 Sec. 3. Section 9-601b of the general statutes is repealed and the  
474 following is substituted in lieu thereof (*Effective from passage*):

475 (a) As used in this chapter and chapter 157, the term "expenditure"  
476 means:

477 (1) Any purchase, payment, distribution, loan, advance, deposit or  
478 gift of money or anything of value, when made [for the purpose of  
479 influencing] to promote the success or defeat of any candidate seeking  
480 the nomination for election, or election, of any person or for the  
481 purpose of aiding or promoting the success or defeat of any  
482 referendum question or [on behalf] the success or defeat of any  
483 political party;



484 (2) Any [advertisement] communication that (A) refers to one or  
485 more clearly identified candidates, and (B) is broadcast by radio, [or]  
486 television, other than on a public access channel, or by satellite  
487 communication or via the Internet, or as a paid-for telephone  
488 communication, or appears in a newspaper, magazine or on a  
489 billboard, [and (C) is broadcast or appears during the ninety-day  
490 period preceding the date of a primary or an election, other than a  
491 commercial advertisement that refers to an owner, director or officer of  
492 a business entity who is also a candidate and that had previously been  
493 broadcast or appeared when the owner, director or officer was not a  
494 candidate] or is sent by mail; or

495 (3) The transfer of funds by a committee to another committee.

496 (b) The term "expenditure" does not mean:

497 (1) A loan of money, made in the ordinary course of business, by a  
498 state or national bank;

499 (2) A communication made by any corporation, organization or  
500 association solely to its members, owners, stockholders, executive or  
501 administrative personnel, or their families;

502 (3) Nonpartisan voter registration and get-out-the-vote campaigns  
503 by any corporation, organization or association aimed at its members,  
504 owners, stockholders, executive or administrative personnel, or their  
505 families;

506 (4) Uncompensated services provided by individuals volunteering  
507 their time on behalf of a party committee, political committee, slate  
508 committee or candidate committee, including any services provided  
509 for the benefit of nonparticipating and participating candidates under  
510 the Citizens' Election Program and any unreimbursed travel expenses  
511 made by an individual who volunteers the individual's personal  
512 services to any such committee. For purposes of this subdivision, an  
513 individual is a volunteer if such individual is not receiving  
514 compensation for such services regardless of whether such individual

515 received compensation in the past or may receive compensation for  
516 similar services that may be performed in the future;

517 (5) Any news story, commentary or editorial distributed through  
518 the facilities of any broadcasting station, newspaper, magazine or  
519 other periodical, unless such facilities are owned or controlled by any  
520 political party, committee or candidate;

521 (6) The use of real or personal property, [and] a portion or all of the  
522 cost of invitations [,] and the cost of food or beverages, voluntarily  
523 provided by an individual to a candidate, [or on behalf of a state  
524 central or town] including a nonparticipating or participating  
525 candidate under the Citizens' Election Program, or to a party, political  
526 or slate committee, in rendering voluntary personal services [for  
527 candidate or party-related activities] at the individual's [residence]  
528 residential premises or a community room in the individual's  
529 residence facility, to the extent that the cumulative value of the  
530 invitations, food or beverages provided by [the] an individual on  
531 behalf of any [single candidate for nomination or election] candidate or  
532 committee does not exceed [two] four hundred dollars with respect to  
533 any single [election, and on behalf of all state central and town  
534 committees does not exceed four] event or does not exceed eight  
535 hundred dollars for any such event hosted by two or more individuals,  
536 provided at least one such individual owns or resides at the residential  
537 premises, and further provided the cumulative value of the invitations,  
538 food or beverages provided by an individual on behalf of any such  
539 candidate or committee does not exceed eight hundred dollars [in]  
540 with respect to a calendar year or single election, as the case may be;

541 [(7) Any unreimbursed payment for travel expenses made by an  
542 individual who, on his own behalf, volunteers his personal services to  
543 any single candidate to the extent that the cumulative value does not  
544 exceed two hundred dollars with respect to any single election, and on  
545 behalf of all state or town committees does not exceed four hundred  
546 dollars in a calendar year; or]

547       (7) A communication described in subdivision (2) of subsection (a)  
548       of this section that includes speech or expression made (A) prior to the  
549       ninety-day period preceding the date of a primary or an election at  
550       which the clearly identified candidate or candidates are seeking  
551       nomination to public office or position, that is made for the purpose of  
552       influencing any legislative or administrative action, as defined in  
553       section 1-91, or executive action, or (B) during a legislative session for  
554       the purpose of influencing legislative action;

555       (8) An organization expenditure by a party committee, legislative  
556       caucus committee or legislative leadership committee; [.]

557       (9) A commercial advertisement that refers to an owner, director or  
558       officer of a business entity who is also a candidate and that had  
559       previously been broadcast or appeared when the owner, director or  
560       officer was not a candidate;

561       (10) A communication containing an endorsement on behalf of a  
562       candidate for nomination or election to the office of Governor,  
563       Lieutenant Governor, Secretary of the State, State Treasurer, State  
564       Comptroller, Attorney General, state senator or state representative,  
565       from a candidate for the office of Governor, Lieutenant Governor,  
566       Secretary of the State, State Treasurer, State Comptroller, Attorney  
567       General, state senator or state representative, shall not be an  
568       expenditure attributable to the endorsing candidate, if the candidate  
569       making the endorsement is unopposed at the time of the  
570       communication;

571       (11) A communication that is sent by mail to addresses in the district  
572       for which a candidate being endorsed by another candidate pursuant  
573       to the provisions of this subdivision is seeking nomination or election  
574       to the office of state senator or state representative, containing an  
575       endorsement on behalf of such candidate for such nomination or  
576       election, from a candidate for the office of state senator or state  
577       representative, shall not be an expenditure attributable to the  
578       endorsing candidate, if the candidate making the endorsement is not

579 seeking election to the office of state senator or state representative for  
580 a district that contains any geographical area shared by the district for  
581 the office to which the endorsed candidate is seeking nomination or  
582 election;

583 (12) Campaign training events provided to multiple individuals by  
584 a legislative caucus committee and any associated materials, provided  
585 the cumulative value of such events and materials does not exceed six  
586 thousand dollars in the aggregate for a calendar year;

587 (13) A lawful communication by any charitable organization which  
588 is a tax-exempt organization under Section 501(c)(3) of the Internal  
589 Revenue Code of 1986, or any subsequent corresponding internal  
590 revenue code of the United States, as from time to time amended;

591 (14) The use of offices, telephones, computers and similar  
592 equipment provided by a party committee, legislative caucus  
593 committee or legislative leadership committee that serve as  
594 headquarters for or are used by such party committee, legislative  
595 caucus committee or legislative leadership committee; or

596 (15) An expense or expenses incurred by a human being acting  
597 alone in an amount that is two hundred dollars or less, in the  
598 aggregate, that benefits a candidate for a single election.

599 (c) "Expense incurred but not paid" means any receipt of goods or  
600 services for which payment is required but not made or a written  
601 contract, promise or agreement to make an expenditure.

602 (d) The provisions of subdivision (6) of subsection (b) of this section  
603 concerning the cost of invitations shall not be construed as preventing  
604 the candidate or the party, political or slate committee from paying all  
605 or any portion of such costs, in which case such amount paid by such  
606 candidate or committee shall not count toward the calculation of the  
607 cumulative value of the invitations, food or beverages provided  
608 pursuant to said subdivision (6).

609 Sec. 4. Section 9-601c of the general statutes is repealed and the  
610 following is substituted in lieu thereof (*Effective from passage*):

611 (a) As used in this chapter and chapter 157, the term "independent  
612 expenditure" means an expenditure, as defined in section 9-601b, as  
613 amended by this act, that is made without the consent, coordination, or  
614 consultation of, a candidate or agent of the candidate, candidate  
615 committee, political committee or party committee.

616 (b) When the State Elections Enforcement Commission evaluates an  
617 expenditure to determine whether such expenditure is an independent  
618 expenditure, there shall be a rebuttable presumption that the following  
619 expenditures are not independent expenditures:

620 (1) An expenditure made by a person in cooperation, consultation or  
621 in concert with, at the request, suggestion or direction of, or pursuant  
622 to a general or particular understanding with (A) a candidate,  
623 candidate committee, political committee or party committee, or (B) a  
624 consultant or other agent acting on behalf of a candidate, candidate  
625 committee, political committee or party committee;

626 (2) An expenditure made by a person for the production,  
627 dissemination, distribution or publication, in whole or in substantial  
628 part, of any broadcast or any written, graphic or other form of political  
629 advertising or campaign communication prepared by (A) a candidate,  
630 candidate committee, political committee or party committee, or (B) a  
631 consultant or other agent acting on behalf of a candidate, candidate  
632 committee, political committee or party committee;

633 (3) An expenditure made by a person based on information about a  
634 candidate's, political committee's, or party committee's plans, projects  
635 or needs, provided by (A) a candidate, candidate committee, political  
636 committee or party committee, or (B) a consultant or other agent acting  
637 on behalf of a candidate, candidate committee, political committee or  
638 party committee, with the intent that such expenditure be made;

639 (4) An expenditure made by an individual who, in the same election

640 cycle, is serving or has served as the campaign chairperson,  
641 [campaign] treasurer or deputy treasurer of a candidate committee,  
642 political committee or party committee benefiting from such  
643 expenditure, or in any other executive or policymaking position,  
644 including as a member, employee, fundraiser, consultant or other  
645 agent, of a [candidate,] candidate committee, political committee or  
646 party committee;

647 [(5) An expenditure made by a person whose officer, director,  
648 member, employee, fundraiser, consultant or other agent who serves  
649 the person in an executive or policymaking position also serves as or  
650 has served in the same election cycle as the candidate or the campaign  
651 chairperson, campaign treasurer or deputy treasurer of a candidate  
652 committee, political committee or party committee benefiting from  
653 such expenditure, or in any other executive or policymaking position  
654 of the candidate committee, political committee or party committee;]

655 (5) An expenditure made by a person or an entity on or after  
656 January first in the year of an election in which a candidate is seeking  
657 public office that benefits such candidate when such person or entity  
658 has hired an individual as an employee or consultant and such  
659 individual was an employee of or consultant to such candidate's  
660 candidate committee or such candidate's opponent's candidate  
661 committee during any part of the eighteen-month period preceding  
662 such expenditure;

663 (6) An expenditure made by a person for fundraising activities (A)  
664 [with or] for a candidate, candidate committee, political committee or  
665 party committee, or a consultant or other agent acting on behalf of a  
666 candidate, candidate committee, political committee or party  
667 committee, or (B) for the solicitation or receipt of contributions on  
668 behalf of a candidate, candidate committee, political committee or  
669 party committee, or a consultant or other agent acting on behalf of a  
670 candidate, candidate committee, political committee or party  
671 committee;

672 (7) An expenditure made by a person based on information about a  
673 candidate's campaign plans, projects or needs, that is directly or  
674 indirectly provided by a candidate, the candidate's candidate  
675 committee, a political committee or a party committee, or a consultant  
676 or other agent acting on behalf of such candidate, candidate  
677 committee, political committee or party committee, to the person  
678 making the expenditure or such person's agent, with an express or tacit  
679 understanding that such person is considering making the  
680 expenditure;

681 (8) An expenditure made by a person for a communication that  
682 clearly identifies a candidate during an election campaign, if the  
683 person making the expenditure, or such person's agent, has informed  
684 the candidate who benefits from the expenditure, that candidate's  
685 candidate committee, a political committee or a party committee, or a  
686 consultant or other agent acting on behalf of the benefiting candidate  
687 or candidate committee, political committee, or party committee,  
688 concerning the communication's contents, or of the intended audience,  
689 timing, location or mode or frequency of dissemination. As used in this  
690 subdivision, a communication clearly identifies a candidate when that  
691 communication contains the name, nickname, initials, photograph or  
692 drawing of the candidate or an unambiguous reference to that  
693 candidate, which includes, but is not limited to, a reference that can  
694 only mean that candidate; and

695 (9) An expenditure made by a person or an entity for consultant or  
696 creative services, including, but not limited to, services related to  
697 communications strategy or design or campaign strategy or to engage  
698 a campaign-related vendor, to be used to promote or oppose a  
699 candidate's election to office if the provider of such services is [also  
700 providing] or has provided consultant or creative services to such  
701 candidate, such candidate's candidate committee or an agent of such  
702 candidate committee, or to any [opposing candidate in the same  
703 primary or election, or to such] opposing candidate's candidate  
704 committee or an agent of such candidate committee after January first

705 of the year in which the expenditure occurs. For purposes of this  
706 subdivision, communications strategy or design does not include the  
707 costs of printing or costs for the use of a medium for the purpose of  
708 communications. For purposes of this subdivision, campaign-related  
709 vendor includes, but is not limited to, a vendor that provides the  
710 following services: Polling, mail design, mail strategy, political  
711 strategy, general campaign advice or telephone banking.

712 (c) When the State Elections Enforcement Commission evaluates an  
713 expenditure to determine whether an expenditure by entity is an  
714 independent expenditure, the following shall not be presumed to  
715 constitute evidence of consent, coordination or consultation within the  
716 meaning of subsection (a) of this section: (1) Participation by a  
717 candidate or an agent of the candidate in an event sponsored by the  
718 entity, unless such event promotes the success of the candidate's  
719 candidacy or the defeat of the candidate's opponent, or unless the  
720 event is during the period that is forty-five days prior to the primary  
721 for which the candidate is seeking nomination for election or election  
722 to office; (2) membership of the candidate or agent of the candidate in  
723 the entity, unless the candidate or agent of the candidate holds an  
724 executive or policymaking position within the entity after the  
725 candidate becomes a candidate; or (3) financial support for, or  
726 solicitation or fundraising on behalf of the entity by a candidate or an  
727 agent of the candidate, unless the entity has made or obligated to make  
728 independent expenditures in support of such candidate in the election  
729 or primary for which the candidate is a candidate.

730 (d) When the State Elections Enforcement Commission evaluates an  
731 expenditure to determine whether such expenditure is an independent  
732 expenditure, the commission shall consider, as an effective rebuttal to  
733 the presumptions provided in subsection (b) of this section, the  
734 establishment by the person making the expenditure of a firewall  
735 policy designed and implemented to prohibit the flow of information  
736 between (1) employees, consultants or other individuals providing  
737 services to the person paying for the expenditure, and (2) the candidate



738 or agents of the candidate.

739 Sec. 5. Subsection (a) of section 9-606 of the general statutes is  
740 repealed and the following is substituted in lieu thereof (*Effective from*  
741 *passage*):

742 (a) The [campaign] treasurer of each committee shall be responsible  
743 for (1) depositing, receiving and reporting all contributions and other  
744 funds in the manner specified in section 9-608, as amended by this act,  
745 (2) making and reporting expenditures, (3) reporting expenses  
746 incurred but not yet paid, (4) filing the statements required under  
747 section 9-608, as amended by this act, and (5) keeping internal records  
748 of each entry made on such statements. The [campaign] treasurer of  
749 each committee shall deposit contributions in the committee's  
750 designated depository [within fourteen] not later than twenty days  
751 after receiving them. The [campaign] treasurer of each political  
752 committee or party committee which makes a contribution of goods to  
753 another committee shall send written notice to the [campaign]  
754 treasurer of the recipient committee before the close of the reporting  
755 period during which the contribution was made. The notice shall be  
756 signed by the [campaign] treasurer of the committee making the  
757 contribution and shall include the full name of such committee, the  
758 date on which the contribution was made, a complete description of  
759 the contribution and the value of the contribution. Any dispute  
760 concerning the information contained in such notice shall be resolved  
761 by the [campaign] treasurer of the recipient committee. Such resolution  
762 shall not impair in any way the authority of the State Elections  
763 Enforcement Commission under section 9-7b, as amended by this act.  
764 The [campaign] treasurer of the recipient committee shall preserve  
765 each such notice received for the period prescribed by subsection (f) of  
766 section 9-607.

767 Sec. 6. Subdivisions (1) and (2) of subsection (g) of section 9-607 of  
768 the general statutes are repealed and the following is substituted in  
769 lieu thereof (*Effective from passage*):

770 (g) (1) As used in this subsection, (A) "the lawful purposes of [his]  
771 the committee" means: (i) For a candidate committee or exploratory  
772 committee, the promoting of the nomination or election of the  
773 candidate who established the committee, except that after a political  
774 party nominates candidates for election to the offices of Governor and  
775 Lieutenant Governor, whose names shall be so placed on the ballot in  
776 the election that an elector will cast a single vote for both candidates,  
777 as prescribed in section 9-181, a candidate committee established by  
778 either such candidate may also promote the election of the other such  
779 candidate; (ii) for a political committee, the promoting of a political  
780 party, including party building activities, the success or defeat of  
781 candidates for nomination and election to public office or position  
782 subject to the requirements of this chapter, or the success or defeat of  
783 referendum questions, provided a political committee formed for a  
784 single referendum question shall not promote the success or defeat of  
785 any candidate, and provided further a legislative leadership committee  
786 or a legislative caucus committee may expend funds to defray costs [of  
787 its members] for conducting legislative or constituency-related  
788 business which are not reimbursed or paid by the state; and (iii) for a  
789 party committee, the promoting of the party, party building activities,  
790 the candidates of the party and continuing operating costs of the party,  
791 and (B) "immediate family" means a spouse or dependent child of a  
792 candidate who resides in the candidate's household.

793 (2) Unless otherwise provided by this chapter, any [campaign]  
794 treasurer, in accomplishing the lawful purposes of [his] the committee,  
795 may pay the expenses of: (A) Advertising in electronic and print  
796 media; (B) any other form of printed advertising or communications  
797 including "thank you" advertising after the election; (C) campaign  
798 items, including, but not limited to, brochures, leaflets, flyers,  
799 invitations, stationery, envelopes, reply cards, return envelopes,  
800 campaign business cards, direct mailings, postcards, palm cards,  
801 "thank you" notes, sample ballots and other similar items; (D) political  
802 banners and billboards; (E) political paraphernalia, which is  
803 customarily given or sold to supporters including, but not limited to,

804 campaign buttons, stickers, pins, pencils, pens, matchbooks, balloons,  
805 pads, calendars, magnets, key chains, hats, tee shirts, sweatshirts,  
806 frisbees, pot holders, jar openers and other similar items; (F)  
807 purchasing office supplies for campaign or political purposes,  
808 campaign photographs, raffle or other fund-raising permits required  
809 by law, fund-raiser prizes, postage, express mail delivery services,  
810 bulk mail permits, and computer supplies and services; (G) banking  
811 service charges to maintain campaign and political accounts; (H)  
812 subscriptions to newspapers and periodicals which enhance the  
813 candidacy of the candidate or party; (I) lease or rental of office space  
814 for campaign or political purposes and expenses in connection  
815 therewith including, but not limited to, furniture, parking, storage  
816 space, utilities and maintenance, provided a party committee or  
817 political committee organized for ongoing political activities may  
818 purchase such office space; (J) lease or rental of vehicles for campaign  
819 use only; (K) lease, rental or use charges of any ordinary and necessary  
820 campaign office equipment including, but not limited to, copy  
821 machines, telephones, postage meters, facsimile machines, computer  
822 hardware, software and printers, provided a party committee or  
823 political committee organized for ongoing political activities may  
824 purchase office equipment, and provided further that a candidate  
825 committee or a political committee, other than a political committee  
826 formed for ongoing political activities or an exploratory committee,  
827 may purchase computer equipment; (L) compensation for campaign or  
828 committee staff, fringe benefits and payroll taxes, provided the  
829 candidate and any member of his immediate family shall not receive  
830 compensation; (M) travel, meals and lodging expenses of speakers,  
831 campaign or committee workers, the candidate and the candidate's  
832 spouse for political and campaign purposes; (N) fund raising; (O)  
833 reimbursements to candidates and campaign or committee workers  
834 made in accordance with the provisions of this section for campaign-  
835 related expenses for which a receipt is received by the campaign  
836 treasurer; (P) campaign or committee services of attorneys,  
837 accountants, consultants or other professional persons for campaign  
838 activities, obtaining or contesting ballot status, nomination, or election,

839 and compliance with this chapter; (Q) purchasing campaign finance  
840 reports; (R) repaying permissible campaign loans made to the  
841 committee that are properly reported and refunding contributions  
842 received from an impermissible source or in excess of the limitations  
843 set forth in this chapter; (S) conducting polls concerning any political  
844 party, issue, candidate or individual; (T) gifts to campaign or  
845 committee workers or purchasing flowers or other commemorative  
846 items for political purposes not to exceed one hundred dollars to any  
847 one recipient in a calendar year or for the campaign, as the case may  
848 be; (U) purchasing tickets or advertising from charities, inaugural  
849 committees, or other civic organizations if for a political purpose, for  
850 any candidate, a candidate's spouse, a member of a candidate's  
851 campaign staff, or members of committees; (V) the inauguration of an  
852 elected candidate by that candidate's candidate committee; (W) hiring  
853 of halls, rooms, music and other entertainment for political meetings  
854 and events; (X) reasonable compensation for public speakers hired by  
855 the committee; (Y) transporting electors to the polls and other get-out-  
856 the-vote activities on election day; and (Z) any other necessary  
857 campaign or political expense.

858 Sec. 7. Section 9-612 of the general statutes is repealed and the  
859 following is substituted in lieu thereof (*Effective from passage*):

860 (a) No individual shall make a contribution or contributions in any  
861 one calendar year in excess of [five] ten thousand dollars to the state  
862 central committee of any party, or for the benefit of such committee  
863 pursuant to its authorization or request; or [one] two thousand dollars  
864 to a town committee of any political party, or for the benefit of such  
865 committee pursuant to its authorization or request; or [one] two  
866 thousand dollars to a legislative caucus committee or legislative  
867 leadership committee, or [seven hundred fifty] one thousand dollars to  
868 any other political committee other than (1) a political committee  
869 formed solely to aid or promote the success or defeat of a referendum  
870 question, (2) an exploratory committee, (3) a political committee  
871 established by an organization, or for the benefit of such committee

872 pursuant to its authorization or request, or (4) a political committee  
873 formed by a slate of candidates in a primary for the office of justice of  
874 the peace of the same town.

875 (b) No individual shall make a contribution to a political committee  
876 established by an organization which receives its funds from the  
877 organization's treasury. With respect to a political committee  
878 established by an organization which has complied with the provisions  
879 of subsection (b) or (c) of section 9-614, and has elected to receive  
880 contributions, no individual other than a member of the organization  
881 may make contributions to the committee, in which case the individual  
882 may contribute not more than seven hundred fifty dollars in any one  
883 calendar year to such committee or for the benefit of such committee  
884 pursuant to its authorization or request.

885 (c) In no event may any individual make contributions to a  
886 candidate committee and a political committee formed solely to  
887 support one candidate other than an exploratory committee or for the  
888 benefit of a candidate committee and a political committee formed  
889 solely to support one candidate pursuant to the authorization or  
890 request of any such committee, in an amount which in the aggregate is  
891 in excess of the maximum amount which may be contributed to the  
892 candidate.

893 (d) Any individual may make unlimited contributions or  
894 expenditures to aid or promote the success or defeat of any  
895 referendum question, provided any individual who makes an  
896 expenditure or expenditures in excess of one thousand dollars to  
897 promote the success or defeat of any referendum question shall file  
898 statements according to the same schedule and in the same manner as  
899 is required of a [campaign] treasurer of a political committee under  
900 section 9-608, as amended by this act.

901 [(e) (1) Any individual, entity or committee acting alone may make  
902 unlimited independent expenditures. Except as provided in  
903 subdivision (2) of this subsection, any such individual, entity or

904 committee that makes or obligates to make an independent  
905 expenditure or expenditures in excess of one thousand dollars, in the  
906 aggregate, shall file statements according to the same schedule and in  
907 the same manner as is required of a campaign treasurer of a candidate  
908 committee under section 9-608.

909 (2) Any individual, entity or committee that makes or obligates to  
910 make an independent expenditure or expenditures to promote the  
911 success or defeat of a candidate for the office of Governor, Lieutenant  
912 Governor, Secretary of the State, State Treasurer, State Comptroller,  
913 Attorney General, state senator or state representative, which exceeds  
914 one thousand dollars, in the aggregate, during a primary campaign or  
915 a general election campaign, as defined in section 9-700, on or after  
916 January 1, 2008, shall file a report of such independent expenditure to  
917 the State Elections Enforcement Commission. The report shall be in the  
918 same form as statements filed under section 9-608, except that such  
919 report shall be filed electronically. If the individual, entity or  
920 committee makes or obligates to make such independent expenditure  
921 or expenditures more than ninety days before the day of a primary or  
922 election, the individual, entity or committee shall file such report not  
923 later than forty-eight hours after such payment or obligation. If the  
924 individual, entity or committee makes or obligates to make such  
925 independent expenditure or expenditures ninety days or less before  
926 the day of a primary or election, the person shall file such report not  
927 later than twenty-four hours after such payment or obligation. The  
928 report shall be filed under penalty of false statement.

929 (3) The independent expenditure report shall (A) identify the  
930 candidate for whom the independent expenditure or expenditures is  
931 intended to promote the success or defeat, (B) affirm under penalty of  
932 false statement that the expenditure is an independent expenditure,  
933 and (C) provide any information that the State Elections Enforcement  
934 Commission requires to facilitate compliance with the provisions of  
935 this chapter or chapter 157.

936 (4) Any person may file a complaint with the commission upon the

937 belief that (A) any such independent expenditure report or statement  
938 is false, or (B) any individual, entity or committee that is required to  
939 file an independent expenditure report under this subsection has failed  
940 to do so. The commission shall make a prompt determination on such  
941 a complaint.

942 (5) (A) If an individual, entity or committee fails to file a report  
943 required under subdivision (2) of this subsection for an independent  
944 expenditure or expenditures made or obligated to be made more than  
945 ninety days before the day of a primary or election, the person shall be  
946 subject to a civil penalty, imposed by the State Elections Enforcement  
947 Commission, of not more than five thousand dollars. If an individual,  
948 entity or committee fails to file a report required under subdivision (2)  
949 of this subsection for an independent expenditure or expenditures  
950 made or obligated to be made ninety days or less before the day of a  
951 primary or election, such individual, entity or committee shall be  
952 subject to a civil penalty, imposed by the State Elections Enforcement  
953 Commission, of not more than ten thousand dollars. (B) If any such  
954 failure is knowing and wilful, the person responsible for the failure  
955 shall also be fined not more than five thousand dollars or imprisoned  
956 not more than five years, or both.]

957 [(f)] (e) (1) As used in this subsection and subsection (f) of section 9-  
958 608, as amended by this act, (A) "investment services" means  
959 investment legal services, investment banking services, investment  
960 advisory services, underwriting services, financial advisory services or  
961 brokerage firm services, and (B) "principal of an investment services  
962 firm" means (i) an individual who is a director of or has an ownership  
963 interest in an investment services firm to which the State Treasurer  
964 pays compensation, expenses or fees or issues a contract, except for an  
965 individual who owns less than five per cent of the shares of an  
966 investment services firm, (ii) an individual who is employed by such  
967 an investment services firm as president, treasurer, or executive vice  
968 president, (iii) an employee of such an investment services firm who  
969 has managerial or discretionary responsibilities with respect to any

970 investment services provided to the State Treasurer, (iv) the spouse or  
971 a dependent child who is eighteen years of age or older of an  
972 individual described in this subparagraph, or (v) a political committee  
973 established or controlled by an individual described in this  
974 subparagraph.

975 (2) No principal of an investment services firm shall make a  
976 contribution to, or solicit contributions on behalf of, an exploratory  
977 committee or candidate committee established by a candidate for  
978 nomination or election to the office of State Treasurer during the term  
979 of office of the State Treasurer who pays compensation, expenses or  
980 fees or issues a contract to such firm. The provisions of this subdivision  
981 shall apply only to contributions and the solicitation of contributions  
982 that are not prohibited under subdivision (2) of subsection [(g)] (f) of  
983 this section.

984 (3) Neither the State Treasurer, the Deputy State Treasurer, any  
985 unclassified employee of the office of the State Treasurer acting on  
986 behalf of the State Treasurer or Deputy State Treasurer, any candidate  
987 for the office of State Treasurer, any member of the Investment  
988 Advisory Council established under section 3-13b nor any agent of any  
989 such candidate may knowingly, wilfully or intentionally solicit  
990 contributions on behalf of an exploratory committee or candidate  
991 committee established by a candidate for nomination or election to any  
992 public office, a political committee or a party committee, from a  
993 principal of an investment services firm. The provisions of this  
994 subdivision shall apply only to contributions and the solicitation of  
995 contributions that are not prohibited under subdivision (3) of  
996 subsection [(g)] (f) of this section.

997 (4) No member of the Investment Advisory Council appointed  
998 under section 3-13b shall make a contribution to, or solicit  
999 contributions on behalf of, an exploratory committee or candidate  
1000 committee established by a candidate for nomination or election to the  
1001 office of State Treasurer.



1002 (5) The provisions of this subsection shall not restrict an individual  
1003 from establishing an exploratory or candidate committee or from  
1004 soliciting for and making contributions to a town committee or  
1005 political committee that the candidate has designated in accordance  
1006 with subsection (b) of section 9-604, for the financing of the  
1007 individual's own campaign or from soliciting contributions for such  
1008 committees from persons not prohibited from making contributions  
1009 under this subsection.

1010 ~~[(g)]~~ (f) (1) As used in this subsection and subsections ~~[(h)]~~ (g) and  
1011 ~~[(i)]~~ (h) of this section:

1012 (A) "Quasi-public agency" has the same meaning as provided in  
1013 section 1-120.

1014 (B) "State agency" means any office, department, board, council,  
1015 commission, institution or other agency in the executive or legislative  
1016 branch of state government.

1017 (C) "State contract" means an agreement or contract with the state or  
1018 any state agency or any quasi-public agency, let through a  
1019 procurement process or otherwise, having a value of fifty thousand  
1020 dollars or more, or a combination or series of such agreements or  
1021 contracts having a value of one hundred thousand dollars or more in a  
1022 calendar year, for (i) the rendition of services, (ii) the furnishing of any  
1023 goods, material, supplies, equipment or any items of any kind, (iii) the  
1024 construction, alteration or repair of any public building or public work,  
1025 (iv) the acquisition, sale or lease of any land or building, (v) a licensing  
1026 arrangement, or (vi) a grant, loan or loan guarantee. "State contract"  
1027 does not include any agreement or contract with the state, any state  
1028 agency or any quasi-public agency that is exclusively federally funded,  
1029 an education loan, a loan to an individual for other than commercial  
1030 purposes or any agreement or contract between the state or any state  
1031 agency and the United States Department of the Navy or the United  
1032 States Department of Defense.

1033 (D) "State contractor" means a person, business entity or nonprofit  
1034 organization that enters into a state contract. Such person, business  
1035 entity or nonprofit organization shall be deemed to be a state  
1036 contractor until December thirty-first of the year in which such  
1037 contract terminates. "State contractor" does not include a municipality  
1038 or any other political subdivision of the state, including any entities or  
1039 associations duly created by the municipality or political subdivision  
1040 exclusively amongst themselves to further any purpose authorized by  
1041 statute or charter, or an employee in the executive or legislative branch  
1042 of state government or a quasi-public agency, whether in the classified  
1043 or unclassified service and full or part-time, and only in such person's  
1044 capacity as a state or quasi-public agency employee.

1045 (E) "Prospective state contractor" means a person, business entity or  
1046 nonprofit organization that (i) submits a response to a state contract  
1047 solicitation by the state, a state agency or a quasi-public agency, or a  
1048 proposal in response to a request for proposals by the state, a state  
1049 agency or a quasi-public agency, until the contract has been entered  
1050 into, or (ii) holds a valid prequalification certificate issued by the  
1051 Commissioner of Administrative Services under section 4a-100.  
1052 "Prospective state contractor" does not include a municipality or any  
1053 other political subdivision of the state, including any entities or  
1054 associations duly created by the municipality or political subdivision  
1055 exclusively amongst themselves to further any purpose authorized by  
1056 statute or charter, or an employee in the executive or legislative branch  
1057 of state government or a quasi-public agency, whether in the classified  
1058 or unclassified service and full or part-time, and only in such person's  
1059 capacity as a state or quasi-public agency employee.

1060 (F) "Principal of a state contractor or prospective state contractor"  
1061 means (i) any individual who is a member of the board of directors of,  
1062 or has an ownership interest of five per cent or more in, a state  
1063 contractor or prospective state contractor, which is a business entity,  
1064 except for an individual who is a member of the board of directors of a  
1065 nonprofit organization, (ii) an individual who is employed by a state

1066 contractor or prospective state contractor, which is a business entity, as  
1067 president, treasurer or executive vice president, (iii) an individual who  
1068 is the chief executive officer of a state contractor or prospective state  
1069 contractor, which is not a business entity, or if a state contractor or  
1070 prospective state contractor has no such officer, then the officer who  
1071 duly possesses comparable powers and duties, (iv) an officer or an  
1072 employee of any state contractor or prospective state contractor who  
1073 has managerial or discretionary responsibilities with respect to a state  
1074 contract, (v) the spouse or a dependent child who is eighteen years of  
1075 age or older of an individual described in this subparagraph, or (vi) a  
1076 political committee established or controlled by an individual  
1077 described in this subparagraph or the business entity or nonprofit  
1078 organization that is the state contractor or prospective state contractor.

1079 (G) "Dependent child" means a child residing in an individual's  
1080 household who may legally be claimed as a dependent on the federal  
1081 income tax return of such individual.

1082 (H) "Managerial or discretionary responsibilities with respect to a  
1083 state contract" means having direct, extensive and substantive  
1084 responsibilities with respect to the negotiation of the state contract and  
1085 not peripheral, clerical or ministerial responsibilities.

1086 (I) "Rendition of services" means the provision of any service to a  
1087 state agency or quasi-public agency in exchange for a fee,  
1088 remuneration or compensation of any kind from the state or through  
1089 an arrangement with the state.

1090 (J) "State contract solicitation" means a request by a state agency or  
1091 quasi-public agency, in whatever form issued, including, but not  
1092 limited to, an invitation to bid, request for proposals, request for  
1093 information or request for quotes, inviting bids, quotes or other types  
1094 of submittals, through a competitive procurement process or another  
1095 process authorized by law waiving competitive procurement.

1096 (K) "Subcontractor" means any person, business entity or nonprofit

1097 organization that contracts to perform part or all of the obligations of a  
1098 state contractor's state contract. Such person, business entity or  
1099 nonprofit organization shall be deemed to be a subcontractor until  
1100 December thirty-first of the year in which the subcontract terminates.  
1101 "Subcontractor" does not include (i) a municipality or any other  
1102 political subdivision of the state, including any entities or associations  
1103 duly created by the municipality or political subdivision exclusively  
1104 amongst themselves to further any purpose authorized by statute or  
1105 charter, or (ii) an employee in the executive or legislative branch of  
1106 state government or a quasi-public agency, whether in the classified or  
1107 unclassified service and full or part-time, and only in such person's  
1108 capacity as a state or quasi-public agency employee.

1109 (L) "Principal of a subcontractor" means (i) any individual who is a  
1110 member of the board of directors of, or has an ownership interest of  
1111 five per cent or more in, a subcontractor, which is a business entity,  
1112 except for an individual who is a member of the board of directors of a  
1113 nonprofit organization, (ii) an individual who is employed by a  
1114 subcontractor, which is a business entity, as president, treasurer or  
1115 executive vice president, (iii) an individual who is the chief executive  
1116 officer of a subcontractor, which is not a business entity, or if a  
1117 subcontractor has no such officer, then the officer who duly possesses  
1118 comparable powers and duties, (iv) an officer or an employee of any  
1119 subcontractor who has managerial or discretionary responsibilities  
1120 with respect to a subcontract with a state contractor, (v) the spouse or a  
1121 dependent child who is eighteen years of age or older of an individual  
1122 described in this subparagraph, or (vi) a political committee  
1123 established or controlled by an individual described in this  
1124 subparagraph or the business entity or nonprofit organization that is  
1125 the subcontractor.

1126 (2) (A) No state contractor, prospective state contractor, principal of  
1127 a state contractor or principal of a prospective state contractor, with  
1128 regard to a state contract or a state contract solicitation with or from a  
1129 state agency in the executive branch or a quasi-public agency or a

1130 holder, or principal of a holder, of a valid prequalification certificate,  
1131 shall make a contribution to, or, on and after January 1, 2011,  
1132 knowingly solicit contributions from the state contractor's or  
1133 prospective state contractor's employees or from a subcontractor or  
1134 principals of the subcontractor on behalf of (i) an exploratory  
1135 committee or candidate committee established by a candidate for  
1136 nomination or election to the office of Governor, Lieutenant Governor,  
1137 Attorney General, State Comptroller, Secretary of the State or State  
1138 Treasurer, (ii) a political committee authorized to make contributions  
1139 or expenditures to or for the benefit of such candidates, or (iii) a party  
1140 committee;

1141 (B) No state contractor, prospective state contractor, principal of a  
1142 state contractor or principal of a prospective state contractor, with  
1143 regard to a state contract or a state contract solicitation with or from  
1144 the General Assembly or a holder, or principal of a holder, of a valid  
1145 prequalification certificate, shall make a contribution to, or, on and  
1146 after January 1, 2011, knowingly solicit contributions from the state  
1147 contractor's or prospective state contractor's employees or from a  
1148 subcontractor or principals of the subcontractor on behalf of (i) an  
1149 exploratory committee or candidate committee established by a  
1150 candidate for nomination or election to the office of state senator or  
1151 state representative, (ii) a political committee authorized to make  
1152 contributions or expenditures to or for the benefit of such candidates,  
1153 or (iii) a party committee;

1154 (C) If a state contractor or principal of a state contractor makes or  
1155 solicits a contribution as prohibited under subparagraph (A) or (B) of  
1156 this subdivision, as determined by the State Elections Enforcement  
1157 Commission, the contracting state agency or quasi-public agency may,  
1158 in the case of a state contract executed on or after February 8, 2007,  
1159 void the existing contract with such contractor, and no state agency or  
1160 quasi-public agency shall award the state contractor a state contract or  
1161 an extension or an amendment to a state contract for one year after the  
1162 election for which such contribution is made or solicited unless the

1163 commission determines that mitigating circumstances exist concerning  
1164 such violation. No violation of the prohibitions contained in  
1165 subparagraph (A) or (B) of this subdivision shall be deemed to have  
1166 occurred if, and only if, the improper contribution is returned to the  
1167 principal by the later of thirty days after receipt of such contribution  
1168 by the recipient committee treasurer or the filing date that corresponds  
1169 with the reporting period in which such contribution was made;

1170 (D) If a prospective state contractor or principal of a prospective  
1171 state contractor makes or solicits a contribution as prohibited under  
1172 subparagraph (A) or (B) of this subdivision, as determined by the State  
1173 Elections Enforcement Commission, no state agency or quasi-public  
1174 agency shall award the prospective state contractor the contract  
1175 described in the state contract solicitation or any other state contract  
1176 for one year after the election for which such contribution is made or  
1177 solicited unless the commission determines that mitigating  
1178 circumstances exist concerning such violation. The Commissioner of  
1179 Administrative Services shall notify applicants of the provisions of this  
1180 subparagraph and subparagraphs (A) and (B) of this subdivision  
1181 during the prequalification application process; and

1182 (E) The State Elections Enforcement Commission shall make  
1183 available to each state agency and quasi-public agency a written notice  
1184 advising state contractors and prospective state contractors of the  
1185 contribution and solicitation prohibitions contained in subparagraphs  
1186 (A) and (B) of this subdivision. Such notice shall: (i) Direct each state  
1187 contractor and prospective state contractor to inform each individual  
1188 described in subparagraph (F) of subdivision (1) of this subsection,  
1189 with regard to such state contractor or prospective state contractor,  
1190 about the provisions of subparagraph (A) or (B) of this subdivision,  
1191 whichever is applicable, and this subparagraph; (ii) inform each state  
1192 contractor and prospective state contractor of the civil and criminal  
1193 penalties that could be imposed for violations of such prohibitions if  
1194 any such contribution is made or solicited; (iii) inform each state  
1195 contractor and prospective state contractor that, in the case of a state

1196 contractor, if any such contribution is made or solicited, the contract  
1197 may be voided; (iv) inform each state contractor and prospective state  
1198 contractor that, in the case of a prospective state contractor, if any such  
1199 contribution is made or solicited, the contract described in the state  
1200 contract solicitation shall not be awarded, unless the commission  
1201 determines that mitigating circumstances exist concerning such  
1202 violation; and (v) inform each state contractor and prospective state  
1203 contractor that the state will not award any other state contract to  
1204 anyone found in violation of such prohibitions for a period of one year  
1205 after the election for which such contribution is made or solicited,  
1206 unless the commission determines that mitigating circumstances exist  
1207 concerning such violation. Each state agency and quasi-public agency  
1208 shall distribute such notice to the chief executive officer of its  
1209 contractors and prospective state contractors, or an authorized  
1210 signatory to a state contract, and shall obtain a written  
1211 acknowledgement of the receipt of such notice.

1212 (3) (A) On and after December 31, 2006, neither the Governor,  
1213 Lieutenant Governor, Attorney General, State Comptroller, Secretary  
1214 of the State or State Treasurer, any candidate for any such office nor  
1215 any agent of any such official or candidate shall knowingly, wilfully or  
1216 intentionally solicit contributions on behalf of an exploratory  
1217 committee or candidate committee established by a candidate for  
1218 nomination or election to any public office, a political committee or a  
1219 party committee, from a person who he or she knows is prohibited  
1220 from making contributions, including a principal of a state contractor  
1221 or prospective state contractor with regard to a state contract  
1222 solicitation with or from a state agency in the executive branch or a  
1223 quasi-public agency or a holder of a valid prequalification certificate.

1224 (B) On and after December 31, 2006, neither a member of the  
1225 General Assembly, any candidate for any such office nor any agent of  
1226 any such official or candidate shall knowingly, wilfully or intentionally  
1227 solicit contributions on behalf of an exploratory committee or  
1228 candidate committee established by a candidate for nomination or

1229 election to any public office, a political committee or a party  
1230 committee, from a person who he or she knows is prohibited from  
1231 making contributions, including a principal of a state contractor or  
1232 prospective state contractor with regard to a state contract solicitation  
1233 with or from the General Assembly or a holder of a valid  
1234 prequalification certificate.

1235 (4) The provisions of this subsection shall not apply to the campaign  
1236 of a principal of a state contractor or prospective state contractor or to  
1237 a principal of a state contractor or prospective state contractor who is  
1238 an elected public official.

1239 (5) Each state contractor and prospective state contractor shall make  
1240 reasonable efforts to comply with the provisions of this subsection. If  
1241 the State Elections Enforcement Commission determines that a state  
1242 contractor or prospective state contractor has failed to make reasonable  
1243 efforts to comply with this subsection, the commission may impose  
1244 civil penalties against such state contractor or prospective state  
1245 contractor in accordance with subsection (a) of section 9-7b, as  
1246 amended by this act.

1247 [(h)] (g) (1) Not later than thirty days after February 8, 2007, each  
1248 state agency and quasi-public agency shall prepare and forward to the  
1249 State Elections Enforcement Commission, on a form prescribed by said  
1250 commission, a list of the names of the state contractors and prospective  
1251 state contractors with which such agency is a party to a contract, and  
1252 any state contract solicitations or prequalification certificates issued by  
1253 the agency. Not less than once per month, each state agency and quasi-  
1254 public agency shall forward to said commission, on a form prescribed  
1255 by the commission, any changes additions or deletions to said lists, not  
1256 later than the fifteenth day of the month.

1257 (2) Not later than sixty days after February 8, 2007, the State  
1258 Elections Enforcement Commission shall (A) compile a master list of  
1259 state contractors and prospective state contractors for all state agencies  
1260 and quasi-public agencies, based on the information received under



1261 subdivision (1) of this subsection, (B) publish the master list on the  
1262 commission's Internet web site, and (C) provide copies of the master  
1263 list to [campaign] treasurers upon request. The commission shall  
1264 update the master list every month.

1265 [(i)] (h) The State Contracting Standards Board shall study  
1266 subcontracts for state contracts and, not later than February 1, 2010,  
1267 submit proposed legislation for extending the provisions of this  
1268 subsection to such subcontracts to the joint standing committee of the  
1269 General Assembly having cognizance of matters relating to elections.

1270 [(j)] (i) (1) As used in this subsection:

1271 (A) "Quasi-public agency" has the same meaning as provided in  
1272 section 1-120.

1273 (B) "Unclassified service" has the same meaning as provided in  
1274 section 5-196.

1275 (2) On and after December 31, 2006:

1276 (A) No executive head of a state agency in the executive branch,  
1277 executive head of a quasi-public agency, deputy of any such executive  
1278 head, other full-time official or employee of any such state agency or  
1279 quasi-public agency who is appointed by the Governor, other full-time  
1280 official or employee of any such state agency or quasi-public agency  
1281 who is in the unclassified service, or member of the immediate family  
1282 of any such person, shall make a contribution or contributions (i) to, or  
1283 for the benefit of, any candidate's campaign for nomination at a  
1284 primary or election to the office of Governor or Lieutenant Governor,  
1285 in excess of one hundred dollars for each such campaign, or (ii) to a  
1286 political committee established by any such candidate, in excess of one  
1287 hundred dollars in any calendar year;

1288 (B) No official or employee of the office of the Attorney General,  
1289 State Comptroller, Secretary of the State or State Treasurer who is in  
1290 the unclassified service, or member of the immediate family of any

1291 such person, shall make a contribution or contributions (i) to, or for the  
1292 benefit of, any candidate's campaign for nomination at a primary or  
1293 election to the office in which such official or employee serves, in  
1294 excess of one hundred dollars for each such campaign, or (ii) to a  
1295 political committee established by any such candidate, in excess of one  
1296 hundred dollars in any calendar year; and

1297 (C) No member of a caucus staff for a major party in the Senate or  
1298 House of Representatives, or member of the immediate family of such  
1299 person, shall make a contribution or contributions (i) to, or for the  
1300 benefit of, any candidate's campaign for nomination at a primary or  
1301 election to the office of state senator or state representative, in excess of  
1302 one hundred dollars for each such campaign, (ii) to a political  
1303 committee established by any such candidate, in excess of one hundred  
1304 dollars in any calendar year, or (iii) to a legislative caucus committee  
1305 or a legislative leadership committee, in excess of one hundred dollars  
1306 in any calendar year.

1307 Sec. 8. (NEW) (*Effective from passage*) (a) Any person, as defined in  
1308 section 9-601 of the general statutes, as amended by this act, may,  
1309 unless otherwise restricted or prohibited by law, including, but not  
1310 limited to, any provision of chapter 155 or 157 of the general statutes,  
1311 make unlimited independent expenditures, as defined in section 9-601c  
1312 of the general statutes, as amended by this act, and accept unlimited  
1313 covered transfers, as defined in said section 9-601. Except as provided  
1314 pursuant to this section, any such person who makes or obligates to  
1315 make an independent expenditure or expenditures in excess of one  
1316 thousand dollars, in the aggregate, shall file statements according to  
1317 the same schedule and in the same manner as is required of a treasurer  
1318 of a candidate committee pursuant to section 9-608 of the general  
1319 statutes, as amended by this act.

1320 (b) Any person who makes or obligates to make an independent  
1321 expenditure or expenditures in an election or primary for the office of  
1322 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,  
1323 State Comptroller, Attorney General, state senator or state

1324 representative, which exceed one thousand dollars, in the aggregate,  
1325 during a primary campaign or a general election campaign, as defined  
1326 in section 9-700 of the general statutes, shall file, electronically, a long-  
1327 form and a short-form report of such independent expenditure or  
1328 expenditures with the State Elections Enforcement Commission  
1329 pursuant to subsections (c) and (d) of this section. The person that  
1330 makes or obligates to make such independent expenditure or  
1331 expenditures shall file such reports not later than twenty-four hours  
1332 after (1) making any such payment, or (2) obligating to make any such  
1333 payment, with respect to the primary or election. If any such person  
1334 makes or incurs a subsequent independent expenditure, such person  
1335 shall report such expenditure pursuant to subsection (d) of this section.  
1336 Such reports shall be filed under penalty of false statement.

1337 (c) The independent expenditure long-form report shall identify: (1)  
1338 The name of the person making or obligating to make such  
1339 expenditure or expenditures; (2) the tax exempt status of such person,  
1340 if applicable; (3) the mailing address of such person; (4) the principal  
1341 business address of the person, if different from the mailing address;  
1342 (5) the address, telephone number and electronic mail address of the  
1343 agent for service of process in this state of such person; (6) the date of  
1344 the primary or election for which the independent expenditure or  
1345 expenditures were made or obligated to be made; (7) the name of any  
1346 candidate who was the subject of any independent expenditure or  
1347 expenditures and whether the independent expenditure or  
1348 expenditures were in support of or in opposition to such candidate;  
1349 and (8) the name, telephone number and electronic mail address for  
1350 the individual filing such report. Such individual filing such report  
1351 shall affirm that the expenditure reported is an independent  
1352 expenditure under penalty of false statement.

1353 (d) As part of any filing made pursuant to subsection (c) of this  
1354 section and for each subsequent independent expenditure made or  
1355 obligated to be made by a person with respect to the primary or  
1356 election for which a long-form report pursuant to subsection (c) of this

1357 section has been filed on behalf of such person, an individual shall file,  
1358 electronically, a short-form report for each such independent  
1359 expenditure, not later than twenty-four hours after such person makes  
1360 a payment for an independent expenditure or obligates to make such  
1361 an independent expenditure. Such short-form report shall identify: (1)  
1362 The name of the person making or obligating to make such  
1363 independent expenditure; (2) the amount of the independent  
1364 expenditure; (3) whether the independent expenditure was in support  
1365 of or in opposition to a candidate and the name of such candidate; (4) a  
1366 brief description of the expenditure made, including the type of  
1367 communication, based on categories determined by the State Elections  
1368 Enforcement Commission, and the allocation of such expenditure in  
1369 support of or in opposition to each candidate, if such expenditure was  
1370 made in support of or in opposition to more than one candidate; and  
1371 (5) the name, telephone number and electronic mail address for the  
1372 individual filing such report. Such individual filing such report shall  
1373 affirm that the expenditure reported is an independent expenditure  
1374 under penalty of false statement.

1375 (e) No person reporting an independent expenditure pursuant to  
1376 the provisions of subsection (c) or (d) of this section shall be required  
1377 to file a statement pursuant to section 9-608 of the general statutes, as  
1378 amended by this act, for such independent expenditure.

1379 (f) (1) Except as provided in subdivision (2) of this subsection, as  
1380 part of any statement filed pursuant to this section, if a person who  
1381 makes or obligates to make an independent expenditure (A) has  
1382 received a covered transfer during the twelve-month period prior to a  
1383 primary or election, as applicable to the reported expenditure, for an  
1384 office that a candidate described in subdivision (7) of subsection (c) of  
1385 this section is seeking, and (B) such independent expenditure is made  
1386 or obligated to be made on or after the date that is one hundred eighty  
1387 days prior to such primary or election, such person shall disclose the  
1388 source and the amount of any such covered transfer such person  
1389 received that is in an amount that is five thousand dollars or more, in

1390 the aggregate, during the twelve-month period prior to such primary  
1391 or election, as applicable to the reported expenditure.

1392 (2) The provisions of subdivision (1) of this subsection shall not  
1393 apply to any person who discloses the source and amount of a covered  
1394 transfer described in subdivision (1) of this subsection as part of any  
1395 report to the Federal Election Commission or the Internal Revenue  
1396 Service, provided such person includes a copy of any such report as  
1397 part of the report of each applicable independent expenditure  
1398 pursuant to this section. If a source and amount of a covered transfer is  
1399 not included as part of any such report, the maker of the expenditure  
1400 shall disclose the source and amount of such covered transfer pursuant  
1401 to subdivision (1) of this subsection, if applicable.

1402 (g) (1) A person may, unless otherwise restricted or prohibited by  
1403 law, including, but not limited to, any provision of chapter 155 or 157  
1404 of the general statutes, establish a dedicated independent expenditure  
1405 account, for the purpose of engaging in independent expenditures,  
1406 that is segregated from all other accounts controlled by such person.  
1407 Such dedicated independent expenditure account may receive covered  
1408 transfers directly from persons other than the person establishing the  
1409 dedicated account and may not receive transfers from another account  
1410 controlled by the person establishing the dedicated account, except as  
1411 provided in subdivision (2) of this subsection. If an independent  
1412 expenditure is made from such segregated account, any report  
1413 required pursuant to this section or disclaimer required pursuant to  
1414 section 9-621 of the general statutes, as amended by this act, may  
1415 include only those persons who made covered transfers directly to the  
1416 dedicated independent expenditure account.

1417 (2) If a person who has made a covered transfer to another account  
1418 controlled by the person establishing a dedicated independent  
1419 expenditure account requests that such covered transfer be used for  
1420 the purposes of making an independent expenditure from the  
1421 dedicated independent expenditure account, the amount of such  
1422 covered transfer may be transferred to the dedicated independent

1423 expenditure account and shall be treated as a covered transfer directly  
1424 to the dedicated independent expenditure account.

1425 (h) Any person may file a complaint with the commission upon the  
1426 belief that (1) any such independent expenditure report or statement is  
1427 false, or (2) any person who is required to file an independent  
1428 expenditure report under this subsection has failed to do so. The  
1429 commission shall make a prompt determination on such a complaint.

1430 (i) (1) If a person fails to file a report in accordance with the  
1431 provisions of this section for an independent expenditure or  
1432 expenditures made or obligated to be made more than ninety days  
1433 before the day of a primary or election, the person shall be subject to a  
1434 civil penalty, imposed by the State Elections Enforcement Commission,  
1435 of not more than ten thousand dollars. If a person fails to file a report  
1436 required in accordance with the provisions of this section for an  
1437 independent expenditure or expenditures made or obligated to be  
1438 made ninety days or less before the day of a primary or election, such  
1439 person shall be subject to a civil penalty, imposed by the State  
1440 Elections Enforcement Commission, of not more than twenty thousand  
1441 dollars.

1442 (2) If any such failure is knowing and wilful, the person responsible  
1443 for the failure shall also be fined not more than fifty thousand dollars  
1444 and the commission may refer the matter to the office of the Chief  
1445 State's Attorney.

1446 Sec. 9. Section 9-621 of the general statutes is repealed and the  
1447 following is substituted in lieu thereof (*Effective from passage*):

1448 (a) No individual shall make or incur any expenditure with the  
1449 consent of, in coordination with or in consultation with any candidate,  
1450 candidate committee or candidate's agent, no group of two or more  
1451 individuals acting together that receives funds or makes or incurs  
1452 expenditures not exceeding one thousand dollars in the aggregate and  
1453 has not formed a political committee shall make or incur any

1454 expenditure, and no candidate or committee shall make or incur any  
1455 expenditure including an organization expenditure for a party  
1456 candidate listing, as defined in subparagraph (A) of subdivision (25) of  
1457 section 9-601, as amended by this act, for any written, typed or other  
1458 printed communication, or any web-based, written communication,  
1459 which promotes the success or defeat of any candidate's campaign for  
1460 nomination at a primary or election or promotes or opposes any  
1461 political party or solicits funds to benefit any political party or  
1462 committee unless such communication bears upon its face as a  
1463 disclaimer (1) the words "paid for by" and the following: (A) In the  
1464 case of such an individual, the name and address of such individual;  
1465 (B) in the case of a committee other than a party committee, the name  
1466 of the committee and its [campaign] treasurer; (C) in the case of a party  
1467 committee, the name of the committee; or (D) in the case of a group of  
1468 two or more individuals that receives funds or makes or incurs  
1469 expenditures not exceeding one thousand dollars in the aggregate and  
1470 has not formed a political committee, the name of the group and the  
1471 name and address of its agent, and (2) the words "approved by" and  
1472 the following: (A) In the case of an individual, group or committee  
1473 other than a candidate committee making or incurring an expenditure  
1474 with the consent of, in coordination with or in consultation with any  
1475 candidate, candidate committee or candidate's agent, the name of the  
1476 candidate; or (B) in the case of a candidate committee, the name of the  
1477 candidate.

1478 (b) In addition to the requirements of subsection (a) of this section:

1479 (1) No candidate or candidate committee or exploratory committee  
1480 established by a candidate shall make or incur any expenditure for  
1481 television advertising or Internet video advertising, which promotes  
1482 the success of such candidate's campaign for nomination at a primary  
1483 or election or the defeat of another candidate's campaign for  
1484 nomination at a primary or election, unless, as a disclaimer, (A) at the  
1485 end of such advertising there appears simultaneously, for a period of  
1486 not less than four seconds, (i) a clearly identifiable photographic or

1487 similar image of the candidate making such expenditure, (ii) a clearly  
1488 readable printed statement identifying such candidate, and indicating  
1489 that such candidate has approved the advertising, and (iii) a  
1490 simultaneous, personal audio message, in the following form: "I am ....  
1491 (candidate's name) and I approved this message", and (B) the  
1492 candidate's name and image appear in, and the candidate's voice is  
1493 contained in, the narrative of the advertising, before the end of such  
1494 advertising;

1495 (2) No candidate or candidate committee or exploratory committee  
1496 established by a candidate shall make or incur any expenditure for  
1497 radio advertising or Internet audio advertising, which promotes the  
1498 success of such candidate's campaign for nomination at a primary or  
1499 election or the defeat of another candidate's campaign for nomination  
1500 at a primary or election, unless, as a disclaimer, (A) the advertising  
1501 ends with a personal audio statement by the candidate making such  
1502 expenditure (i) identifying such candidate and the office such  
1503 candidate is seeking, and (ii) indicating that such candidate has  
1504 approved the advertising in the following form: "I am .... (candidate's  
1505 name) and I approved this message", and (B) the candidate's name and  
1506 voice are contained in the narrative of the advertising, before the end  
1507 of such advertising; and

1508 (3) No candidate or candidate committee or exploratory committee  
1509 established by a candidate shall make or incur any expenditure for  
1510 automated telephone calls which promote the success of such  
1511 candidate's campaign for nomination at a primary or election or the  
1512 defeat of another candidate's campaign for nomination at a primary or  
1513 election, unless the candidate's name and voice are contained in the  
1514 narrative of the call, before the end of such call.

1515 (c) No business entity, organization, association, committee, or  
1516 group of two or more individuals who have joined solely to promote  
1517 the success or defeat of a referendum question shall make or incur any  
1518 expenditure for any written, typed or other printed communication  
1519 which promotes the success or defeat of any referendum question



1520 unless such communication bears upon its face, as a disclaimer, the  
1521 words "paid for by" and the following: (1) In the case of a business  
1522 entity, organization or association, the name of the business entity,  
1523 organization or association and the name of its chief executive officer  
1524 or equivalent, and in the case such communication is made during the  
1525 ninety-day period immediately prior to the referendum, such  
1526 communication shall also bear on its face the names of the five persons  
1527 who made the five largest aggregate covered transfers to such business  
1528 entity, organization or association during the twelve-month period  
1529 immediately prior to such referendum. The communication shall also  
1530 state that additional information about the business entity,  
1531 organization or association making such communication may be found  
1532 on the State Elections Enforcement Commission's Internet web site; (2)  
1533 in the case of a political committee, the name of the committee and the  
1534 name of its [campaign] treasurer; (3) in the case of a party committee,  
1535 the name of the committee; or (4) in the case of such a group of two or  
1536 more individuals, the name of the group and the name and address of  
1537 its agent.

1538 (d) The provisions of subsections (a), (b) and (c) of this section do  
1539 not apply to (1) any editorial, news story, or commentary published in  
1540 any newspaper, magazine or journal on its own behalf and upon its  
1541 own responsibility and for which it does not charge or receive any  
1542 compensation whatsoever, (2) any banner, (3) political paraphernalia  
1543 including pins, buttons, badges, emblems, hats, bumper stickers or  
1544 other similar materials, or (4) signs with a surface area of not more  
1545 than thirty-two square feet.

1546 (e) The [campaign] treasurer of a candidate committee which  
1547 sponsors any written, typed or other printed communication for the  
1548 purpose of raising funds to eliminate a campaign deficit of that  
1549 committee shall include in such communication a statement that the  
1550 funds are sought to eliminate such a deficit.

1551 (f) The [campaign] treasurer of an exploratory committee or  
1552 candidate committee established by a candidate for nomination or

election to the office of Treasurer which committee sponsors any written, typed or other printed communication for the purpose of raising funds shall include in such communication a statement concerning the prohibitions set forth in subsection (n) of section 1-84, subsection [(f)] (e) of section 9-612, as amended by this act, and subsection (f) of section 9-613.

(g) In the event a [campaign] treasurer of a candidate committee is replaced pursuant to subsection (c) of section 9-602, nothing in this section shall be construed to prohibit the candidate committee from distributing any printed communication subject to the provisions of this section that has already been printed or otherwise produced, even though such communication does not accurately designate the successor [campaign] treasurer of such candidate committee.

(h) (1) No [entity] person shall make or incur an independent expenditure for any written, typed or other printed communication, including on a billboard, or any web-based, written communication, [that promotes the success or defeat of any candidate for nomination or election or promotes or opposes any political party or solicits funds to benefit any political party or committee,] unless such communication bears upon its face, as a disclaimer, the words "Paid for by" and the name of [the entity, the name of its chief executive officer or equivalent, and its principal business address and the words] such person and the following statement: "This message was made independent of any candidate or political party.". In the case of [an entity] a person making or incurring such an independent expenditure [ , which entity is a tax-exempt organization under Section 501(c) of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as amended from time to time, or an incorporated tax-exempt political organization organized under Section 527 of said code, such communication shall also bear upon its face the words "Top Five Contributors" followed by a list of the five persons or entities making the largest contributions to such organization during the twelve-month period before the date of such

1586 communication] during the ninety-day period immediately prior to the  
1587 primary or election for which the independent expenditure is made,  
1588 such communication shall also bear upon its face the names of the five  
1589 persons who made the five largest aggregate covered transfers to the  
1590 person making such communication during the twelve-month period  
1591 immediately prior to such primary or election, as applicable. The  
1592 communication shall also state that additional information about the  
1593 person making such communication may be found on the State  
1594 Elections Enforcement Commission's Internet web site.

1595 (2) In addition to the requirements of subdivision (1) of this  
1596 subsection, no [entity] person shall make or incur an independent  
1597 expenditure for [television advertising or Internet video advertising,  
1598 that promotes the success or defeat of any candidate for nomination or  
1599 election or promotes or opposes any political party or solicits funds to  
1600 benefit any political party or committee] a video broadcast by  
1601 television, satellite or Internet, unless at the end of such advertising  
1602 there appears [simultaneously,] for a period of not less than four  
1603 seconds [, (A) a clearly identifiable video, photographic or similar  
1604 image of the entity's chief executive officer or equivalent, and (B) a  
1605 personal audio message, in the following form: "I am .... (name of  
1606 entity's chief executive officer or equivalent), .... (title) of .... (entity).  
1607 This message was made independent of any candidate or political  
1608 party, and I approved its content.".] as a disclaimer, the following as an  
1609 audio message and a written statement: "This message was paid for by  
1610 (person making the communication) and made independent of any  
1611 candidate or political party." In the case of [an entity] a person making  
1612 or incurring such an independent expenditure [, which entity is a tax-  
1613 exempt organization under Section 501(c) of the Internal Revenue  
1614 Code of 1986, or any subsequent corresponding internal revenue code  
1615 of the United States, as amended from time to time, or an incorporated  
1616 tax-exempt political organization organized under Section 527 of said  
1617 code, such advertising shall also include a written message in the  
1618 following form: "The top five contributors to the organization  
1619 responsible for this advertisement are" followed by a list of the five

1620 persons or entities making the largest contributions during the twelve-  
1621 month period before the date of such advertisement] during the  
1622 ninety-day period immediately prior to the primary or election for  
1623 which the independent expenditure is made, such communication  
1624 shall also list the names of the five persons who made the five largest  
1625 aggregate covered transfers to the person making such communication  
1626 during the twelve-month period immediately prior to such primary or  
1627 election, as applicable. The communication shall also state that  
1628 additional information about the person making such communication  
1629 may be found on the State Elections Enforcement Commission's  
1630 Internet web site.

1631 (3) In addition to the requirements of subdivision (1) of this  
1632 subsection, no [entity] person shall make or incur an independent  
1633 expenditure for [radio advertising or Internet audio advertising, that  
1634 promotes the election or defeat of any candidate for nomination or  
1635 election or promotes or opposes any political party or solicits funds to  
1636 benefit any political party or committee] an audio communication  
1637 broadcast by radio, satellite or Internet, unless the advertising ends  
1638 with a disclaimer that is a personal audio statement by [the entity's  
1639 chief executive officer or equivalent] such person's agent (A)  
1640 identifying the [entity] person paying for the expenditure, and (B)  
1641 indicating that the message was made independent of any candidate or  
1642 political party, using the following form: "I am .... (name of [entity's  
1643 chief executive officer or equivalent]) the person's agent), .... (title), of  
1644 .... [(entity)] (the person). This message was made independent of any  
1645 candidate or political party." [ and I approved its content."] In the  
1646 case of [an entity] a person making or incurring such an independent  
1647 expenditure [, which entity is a tax-exempt organization under Section  
1648 501(c) of the Internal Revenue Code of 1986, or any subsequent  
1649 corresponding internal revenue code of the United States, as amended  
1650 from time to time, or an incorporated tax-exempt political organization  
1651 organized under Section 527 of said code, such advertising shall also  
1652 include (i) an audio message in the following form: "The top five  
1653 contributors to the organization responsible for this advertisement are"

1654 followed by a list of the five persons or entities making the largest  
1655 contributions during the twelve-month period before the date of such  
1656 advertisement, or (ii) in the case of such an advertisement that is thirty  
1657 seconds in duration or shorter, an audio message providing a web site  
1658 address that lists such five persons or entities. In such case, the  
1659 organization shall establish and maintain such a web site with such  
1660 listing for the entire period during which such organization makes  
1661 such advertisement] during the ninety-day period immediately prior  
1662 to the primary or election for which the independent expenditure is  
1663 made, such communication shall state the names of the five persons  
1664 who made the five largest aggregate covered transfers to the person  
1665 making such communication during the twelve-month period  
1666 immediately prior to such primary or election, as applicable. The  
1667 communication shall also state that additional information about the  
1668 person making such communication may be found on the State  
1669 Elections Enforcement Commission's Internet web site.

1670 (4) In addition to the requirements of subdivision (1) of this  
1671 subsection, no [entity] person shall make or incur an independent  
1672 expenditure for [automated] telephone calls, [that promote the election  
1673 or defeat of any candidate for nomination or election or promotes or  
1674 opposes any political party or solicits funds to benefit any political  
1675 party or committee,] unless the narrative of the telephone call  
1676 identifies the [entity] person making the expenditure and [its chief  
1677 executive officer or equivalent. In the case of an entity making or  
1678 incurring such an independent expenditure, which entity is a tax-  
1679 exempt organization under Section 501(c) of the Internal Revenue  
1680 Code of 1986, or any subsequent corresponding internal revenue code  
1681 of the United States, as amended from time to time, or an incorporated  
1682 tax-exempt political organization organized under Section 527 of said  
1683 code, such narrative shall also include an audio message in the  
1684 following form: "The top five contributors to the organization  
1685 responsible for this telephone call are" followed by a list of the five  
1686 persons or entities making the largest contributions during the twelve-  
1687 month period before the date of such telephone call] during the ninety-

1688 day period immediately prior to the primary or election for which the  
1689 independent expenditure is made, such communication shall state the  
1690 names of the five persons who made the five largest aggregate covered  
1691 transfers to the person making such communication during the twelve-  
1692 month period immediately prior to such primary or election, as  
1693 applicable. The communication shall also state that additional  
1694 information about the person making such communication may be  
1695 found on the State Elections Enforcement Commission's Internet web  
1696 site.

1697 (i) In any print, television or social media promotion of a slate of  
1698 candidates by a party committee, the party committee shall use  
1699 applicable disclaimers pursuant to the provisions of this section for  
1700 such promotion, and no individual candidate disclaimers shall be  
1701 required.

1702 (j) (1) Except as provided in subdivisions (2) and (3) of this  
1703 subsection, if any person whose name is included on a disclaimer of a  
1704 communication pursuant to the provisions of this section, as a person  
1705 who made a covered transfer to the maker of the communication, is  
1706 also a recipient of a covered transfer, the maker of the communication,  
1707 as part of any report filed pursuant to section 8 of this act associated  
1708 with the making of such communication, shall include the names of  
1709 the five persons who made the top five largest aggregate covered  
1710 transfers to such recipient during the twelve-month period  
1711 immediately prior to the primary or election, as applicable.

1712 (2) The name of any person who made a covered transfer to a tax-  
1713 exempt organization recognized under Section 501(c)(4) of the Internal  
1714 Revenue Code of 1986, or any subsequent corresponding internal  
1715 revenue code of the United States, as amended from time to time, that  
1716 has not had its tax exempt status revoked, shall not be disclosed  
1717 pursuant to the provisions of subdivision (1) of this subsection.

1718 (3) The name of any person who made a covered transfer to a  
1719 person whose name is included on a disclaimer pursuant to the

1720 provisions of this section shall not be disclosed pursuant to the  
1721 provisions of subdivision (1) of this subsection if the recipient of such  
1722 covered transfer accepts covered transfers from at least one hundred  
1723 different sources, provided no such source accounts for ten per cent or  
1724 more of the total amount of covered transfers accepted by the recipient  
1725 during the twelve-month period immediately prior to the primary or  
1726 election, as applicable.

1727 (k) Any disclaimer required to be on the face of a written, typed or  
1728 other printed communication pursuant to the provisions of this section  
1729 shall be printed in no smaller than eight-point type of uniform font  
1730 when such disclaimer is on a communication contained in a flyer or  
1731 leaflet, newspaper, magazine or similar literature, or that is delivered  
1732 by mail.

1733 (l) Notwithstanding the provisions of this section, no person making  
1734 an independent expenditure for a communication shall be required to  
1735 list as part of any disclaimer pursuant to this section any person whose  
1736 covered transfers to the maker of the communication are not in an  
1737 aggregate amount of five thousand dollars or more during the twelve-  
1738 month period immediately prior to the primary or election, as  
1739 applicable, for which such independent expenditure is made.

1740 (m) Notwithstanding the provisions of this section, any disclaimer  
1741 required to be on the face of any Internet text advertisement  
1742 communication (1) that appears based on the result of a search  
1743 conducted by a user of an Internet search engine, and (2) the text of  
1744 which contains two hundred or fewer characters, shall not be required  
1745 to list the names of the five persons who made the top five largest  
1746 aggregate covered transfers to the maker of such communication, as  
1747 otherwise required by this section, if such disclaimer (A) includes a  
1748 link to an Internet web site that discloses the names of such five  
1749 persons, and (B) otherwise contains any statement required pursuant  
1750 to the provisions of this section.

1751 Sec. 10. Subsection (a) of section 9-623 of the general statutes is

1752 repealed and the following is substituted in lieu thereof (*Effective from*  
1753 *passage*):

1754 (a) Any person who knowingly and wilfully violates any provision  
1755 of this chapter shall be fined not more than [five] twenty-five thousand  
1756 dollars, [or imprisoned not more than five years, or both] unless a fine  
1757 of a larger amount is otherwise provided for as a maximum fine under  
1758 this chapter, in which case the larger amount shall be the maximum  
1759 fine for such violation. The Secretary of the State or the town clerk  
1760 shall notify the State Elections Enforcement Commission of any such  
1761 violation of which said secretary or such town clerk may have  
1762 knowledge. Any such fine for a violation of any provision of this  
1763 chapter applying to the office of the Treasurer shall be deposited on a  
1764 pro rata basis in any trust funds, as defined in section 3-13c, affected  
1765 by such violation.

1766 Sec. 11. (NEW) (*Effective from passage*) If the State Elections  
1767 Enforcement Commission finds that an expenditure, as defined in  
1768 section 9-601b of the general statutes, as amended by this act, is  
1769 coordinated with a candidate committee or candidate or an agent of  
1770 the candidate, in a manner not permissible under the provisions of  
1771 chapter 155 of the general statutes, the candidate, agent of the  
1772 candidate, if applicable, or treasurer of such committee who  
1773 participated in or had knowledge of such coordination, shall be jointly  
1774 and severally liable for paying any penalty levied by the commission  
1775 under section 9-7b of the general statutes, as amended by this act.

1776 Sec. 12. (NEW) (*Effective from passage*) (a) (1) Wherever the term  
1777 "campaign treasurer" is used in the following sections of the general  
1778 statutes, the term "treasurer" shall be substituted in lieu thereof; and (2)  
1779 wherever the term "deputy campaign treasurer" is used in the  
1780 following sections of the general statutes, the term "deputy treasurer"  
1781 shall be substituted in lieu thereof: 9-7b, as amended by this act, 9-602,  
1782 as amended by this act, 9-604, 9-605, as amended by this act, 9-606, as  
1783 amended by this act, 9-607, as amended by this act, 9-608, as amended  
1784 by this act, 9-609, 9-610, as amended by this act, 9-614, 9-622, 9-623, as



1785 amended by this act, 9-624, 9-675, 9-700, 9-703, 9-704, 9-706, as  
1786 amended by this act, 9-707, as amended by this act, 9-709, 9-711 and 9-  
1787 712, as amended by this act.

1788 (b) The Legislative Commissioners' Office shall, in codifying the  
1789 provisions of this section, make such technical, grammatical and  
1790 punctuation changes as are necessary to carry out the purposes of this  
1791 section.

1792 Sec. 13. Subsection (c) of section 9-605 of the general statutes is  
1793 repealed and the following is substituted in lieu thereof (*Effective from*  
1794 *passage*):

1795 (c) The [chairman] treasurer of each political committee shall report  
1796 any addition to or change in information previously submitted in a  
1797 statement of organization to the proper authority not later than ten  
1798 days after the addition or change, provided if an officer of the  
1799 committee has changed, such amended statement shall be filed by the  
1800 chairperson of the committee.

1801 Sec. 14. Subsection (a) of section 9-608 of the general statutes is  
1802 repealed and the following is substituted in lieu thereof (*Effective from*  
1803 *passage*):

1804 (a) (1) Each treasurer of a committee, other than a state central  
1805 committee, shall file a statement, sworn under penalty of false  
1806 statement with the proper authority in accordance with the provisions  
1807 of section 9-603, (A) on the tenth calendar day in the months of  
1808 January, April, July and October, provided, if such tenth calendar day  
1809 is a Saturday, Sunday or legal holiday, the statement shall be filed on  
1810 the next business day, except that in the case of a candidate or  
1811 exploratory committee established for an office to be elected at a  
1812 special election, statements pursuant to this subparagraph shall not be  
1813 required, (B) on the seventh day preceding each regular state election,  
1814 except that (i) in the case of a candidate or exploratory committee  
1815 established for an office to be elected at a municipal election, the

1816 statement shall be filed on the seventh day preceding a regular  
1817 municipal election in lieu of such date, except if the candidate's name  
1818 is not eligible to appear on the ballot, in which case such statement  
1819 shall not be required, (ii) in the case of a town committee, the  
1820 statement shall be filed on the seventh day preceding each municipal  
1821 election in addition to such date, [and] (iii) in the case of a candidate  
1822 committee in a state election that is required to file any supplemental  
1823 campaign finance statements pursuant to subdivisions (1) and (2) of  
1824 subsection (a) of section 9-712, as amended by this act, such  
1825 supplemental campaign finance statements shall satisfy the filing  
1826 requirement under this subdivision, and (iv) in the case of a candidate  
1827 committee established by a candidate whose name is not eligible to  
1828 appear on the ballot, such statement shall not be required, and (C) if  
1829 the committee has made or received a contribution or expenditure in  
1830 connection with any other election, a primary or a referendum, on the  
1831 seventh day preceding the election, primary or referendum, except that  
1832 in the case of a candidate committee in a primary that is required to  
1833 file statements pursuant to subdivisions (1) and (2) of subsection (a) of  
1834 section 9-712, as amended by this act, such statements shall satisfy the  
1835 filing requirement under this subdivision. The statement shall be  
1836 complete as of eleven fifty-nine o'clock p.m. of the last day of the  
1837 month preceding the month in which the statement is required to be  
1838 filed, except that for the statement required to be filed on the seventh  
1839 day preceding the election, primary or referendum, the statement shall  
1840 be complete as of eleven fifty-nine o'clock p.m. of the second day  
1841 immediately preceding the required filing day. The statement shall  
1842 cover a period to begin with the first day not included in the last filed  
1843 statement. In the case of a candidate committee, the statement required  
1844 to be filed in January shall be in lieu of the statement formerly required  
1845 to be filed within forty-five days following an election.

1846 (2) Each [campaign] treasurer of a candidate committee [, within]  
1847 established by a candidate in a primary, not later than thirty days  
1848 [following any] after such primary, and each [campaign] treasurer of a  
1849 political committee formed for a single primary, election or

1850 referendum, [within] not later than forty-five days after any election or  
1851 referendum not held in November, shall file statements in the same  
1852 manner as is required of them under subdivision (1) of this subsection.  
1853 A candidate committee established by a candidate who is unsuccessful  
1854 in the primary shall not be required to file any statements required  
1855 under subdivision (1) of this subsection following the primary unless  
1856 the candidate is eligible to appear on the general election ballot. If the  
1857 [campaign] treasurer of a candidate committee established by a  
1858 candidate, who is unsuccessful in the primary or has terminated his  
1859 candidacy prior to the primary, distributes all surplus funds within  
1860 thirty days following the scheduled primary and discloses the  
1861 distribution on the postprimary statement, such [campaign] treasurer  
1862 shall not be required to file any subsequent statement unless the  
1863 committee has a deficit, in which case he shall file any required  
1864 statements in accordance with the provisions of subdivision (3) of  
1865 subsection (e) of this section.

1866 (3) In the case of state central committees, (A) on the tenth calendar  
1867 day in the months of January, April and July, provided, if such tenth  
1868 calendar day is a Saturday, Sunday or legal holiday, on the next  
1869 business day, [and] (B) on the twelfth day preceding any regular  
1870 election, and (C) if the committee has made or received a contribution  
1871 or expenditure in connection with any other election, or any primary  
1872 or referendum, on the twelfth day preceding the election, primary or  
1873 referendum, the [campaign] treasurer of each such committee shall file  
1874 with the proper authority, a statement, sworn under penalty of false  
1875 statement, complete as of the last day of the month immediately  
1876 preceding the month in which such statement is to be filed in the case  
1877 of statements required to be filed in January, April and July, and  
1878 complete as of the nineteenth day preceding an election, primary or  
1879 referendum in the case of the statement required to be filed on the  
1880 twelfth day preceding an election, primary or referendum, and in each  
1881 case covering a period to begin with the first day not included in the  
1882 last filed statement.

1883 Sec. 15. Subdivision (1) of subsection (e) of section 9-608 of the  
1884 general statutes is repealed and the following is substituted in lieu  
1885 thereof (*Effective from passage*):

1886 (e) (1) Notwithstanding any provisions of this chapter, in the event  
1887 of a surplus the [campaign] treasurer of a candidate committee or of a  
1888 political committee, other than a political committee formed for  
1889 ongoing political activities or an exploratory committee, shall  
1890 distribute or expend such surplus not later than ninety days, or for the  
1891 purposes of subparagraph (H) of this subdivision, one hundred twenty  
1892 days after a primary which results in the defeat of the candidate, an  
1893 election or referendum not held in November or by March thirty-first  
1894 following an election or referendum held in November, or for the  
1895 purposes of subparagraph (H) of this subdivision, June thirtieth  
1896 following an election or referendum held in November, in the  
1897 following manner:

1898 (A) Such committees may distribute their surplus to a party  
1899 committee, or a political committee organized for ongoing political  
1900 activities, return such surplus to all contributors to the committee on a  
1901 prorated basis of contribution, distribute all or any part of such surplus  
1902 to the Citizens' Election Fund established in section 9-701, [or]  
1903 distribute such surplus to any charitable organization which is a tax-  
1904 exempt organization under Section 501(c)(3) of the Internal Revenue  
1905 Code of 1986, or any subsequent corresponding internal revenue code  
1906 of the United States, as from time to time amended, or, in the case of a  
1907 candidate committee for any candidate, other than a participating  
1908 candidate, distribute such surplus to an organization under Section  
1909 501(c)(19) of said code, as from time to time amended, provided (i) no  
1910 candidate committee may distribute such surplus to a committee  
1911 which has been established to finance future political campaigns of the  
1912 candidate, (ii) a candidate committee which received moneys from the  
1913 Citizens' Election Fund shall distribute such surplus to such fund, and  
1914 (iii) a candidate committee for a nonparticipating candidate, as  
1915 described in subsection (b) of section 9-703, may only distribute any

1916 such surplus to the Citizens' Election Fund or to a charitable  
1917 organization;

1918 (B) Each such political committee established by an organization  
1919 which received its funds from the organization's treasury shall return  
1920 its surplus to its sponsoring organization;

1921 (C) (i) Each political committee formed solely to aid or promote the  
1922 success or defeat of any referendum question, which does not receive  
1923 contributions from a business entity or an organization, shall distribute  
1924 its surplus to a party committee, to a political committee organized for  
1925 ongoing political activities, to a national committee of a political party,  
1926 to all contributors to the committee on a prorated basis of contribution,  
1927 to state or municipal governments or agencies or to any organization  
1928 which is a tax-exempt organization under Section 501(c)(3) of the  
1929 Internal Revenue Code of 1986, or any subsequent corresponding  
1930 internal revenue code of the United States, as from time to time  
1931 amended. (ii) Each political committee formed solely to aid or promote  
1932 the success or defeat of any referendum question, which receives  
1933 contributions from a business entity or an organization, shall distribute  
1934 its surplus to all contributors to the committee on a prorated basis of  
1935 contribution, to state or municipal governments or agencies, or to any  
1936 organization which is tax-exempt under said provisions of the Internal  
1937 Revenue Code. Notwithstanding the provisions of this subsection, a  
1938 committee formed for a single referendum shall not be required to  
1939 expend its surplus not later than ninety days after the referendum and  
1940 may continue in existence if a substantially similar referendum  
1941 question on the same issue will be submitted to the electorate within  
1942 six months after the first referendum. If two or more substantially  
1943 similar referenda on the same issue are submitted to the electorate,  
1944 each no more than six months apart, the committee shall expend such  
1945 surplus within ninety days following the date of the last such  
1946 referendum;

1947 (D) The [campaign] treasurer of the candidate committee of a  
1948 candidate who is elected to office may, upon the authorization of such

1949 candidate, expend surplus campaign funds to pay for the cost of  
1950 clerical, secretarial or other office expenses necessarily incurred by  
1951 such candidate in preparation for taking office; except such surplus  
1952 shall not be distributed for the personal benefit of any individual or to  
1953 any organization;

1954 (E) The [campaign] treasurer of a candidate committee, or of a  
1955 political committee, other than a political committee formed for  
1956 ongoing political activities or an exploratory committee, shall, prior to  
1957 the dissolution of such committee, either (i) distribute any equipment  
1958 purchased, including, but not limited to, computer equipment, to any  
1959 recipient as set forth in subparagraph (A) of this subdivision, or (ii) sell  
1960 any equipment purchased, including but not limited to computer  
1961 equipment, to any person for fair market value and then distribute the  
1962 proceeds of such sale to any recipient as set forth in said subparagraph  
1963 (A);

1964 (F) The [campaign] treasurer of a qualified candidate committee  
1965 may, following an election or unsuccessful primary, provide a post-  
1966 primary thank you meal or a post-election thank you meal for  
1967 committee workers, provided such meal (i) occurs not later than  
1968 fourteen days after the applicable election or primary day, and (ii) the  
1969 cost for such meal does not exceed thirty dollars per worker;

1970 (G) The [campaign] treasurer of a qualified candidate committee  
1971 may, following an election or unsuccessful primary, exclusive of any  
1972 payments that have been rendered pursuant to a written service  
1973 agreement, make payment to a [campaign] treasurer for services  
1974 rendered to the candidate committee, provided such payment does not  
1975 exceed one thousand dollars; and

1976 (H) The [campaign] treasurer of a candidate committee may,  
1977 following an election or unsuccessful primary, utilize funds for the  
1978 purpose of complying with any audit conducted by the State Elections  
1979 Enforcement Commission pursuant to subdivision (5) of subsection (a)  
1980 of section 9-7b.

1981       Sec. 16. Subdivisions (1) and (2) of subsection (f) of section 9-610 of  
1982 the general statutes are repealed and the following is substituted in  
1983 lieu thereof (*Effective from passage*):

1984       (f) (1) A political committee established by two or more individuals  
1985 under subparagraph (B) of subdivision (3) of section 9-601, as  
1986 amended by this act, other than a committee established solely for the  
1987 purpose of aiding or promoting any candidate or candidates for  
1988 municipal office or the success or defeat of a referendum question,  
1989 shall be subject to the prohibition on acceptance of lobbyist  
1990 contributions under subsection (e) of this section unless the [campaign]  
1991 treasurer of the committee has filed a registration statement as  
1992 described in subsection (b) of section 9-605 with the State Elections  
1993 Enforcement Commission, on or before November 15, 2012, for all such  
1994 political committees in existence on such date, or, if the committee is  
1995 not in existence on such date, not later than ten days after the  
1996 organization of the committee pursuant to subsection (a) of section 9-  
1997 605, and on or before November fifteenth of each even-numbered year  
1998 thereafter. Such statements shall be filed even if there are no changes,  
1999 additions or deletions to the registration statement previously filed  
2000 with the commission. Notwithstanding the provisions of this  
2001 subdivision, if an officer of the committee has changed since the last  
2002 registration statement filed with the commission, such registration  
2003 statement shall be filed by the chairperson of the committee.

2004       (2) A political committee established for ongoing political activities  
2005 and required pursuant to subsection (a) of section 9-603 to file  
2006 statements with the commission shall be subject to the prohibition on  
2007 making contributions under subsection (e) of this section unless the  
2008 [campaign] treasurer of the committee has filed a registration  
2009 statement as described in subsection (b) of section 9-605 with the  
2010 commission, on forms prescribed by the commission, on or before  
2011 November 15, 2012, for all such political committees in existence on  
2012 such date, or, if the committee is not in existence on such date, not later  
2013 than ten days after the organization of the committee pursuant to

2014 subsection (a) of section 9-605, and on or before November fifteenth of  
2015 each even-numbered year thereafter. Such statements shall be filed  
2016 even if there are no changes, additions or deletions to the registration  
2017 statement previously filed with the commission. Notwithstanding the  
2018 provisions of this subdivision, if an officer of the committee has  
2019 changed since the last registration statement filed with the  
2020 commission, such registration statement shall be filed by the  
2021 chairperson of the committee.

2022 Sec. 17. Subsection (a) of section 9-712 of the general statutes is  
2023 repealed and the following is substituted in lieu thereof (*Effective from*  
2024 *passage*):

2025 (a) (1) The [campaign] treasurer of each candidate committee in a  
2026 primary campaign or a general election campaign in which there is at  
2027 least one participating candidate shall file weekly supplemental  
2028 campaign finance statements with the commission in accordance with  
2029 the provisions of subdivision (2) of this subsection. Such weekly  
2030 statements shall be in lieu of the campaign finance statements due  
2031 pursuant to subparagraphs (B) and (C) of subdivision (1) of subsection  
2032 (a) of section 9-608, as amended by this act.

2033 (2) Each such [campaign] treasurer shall file weekly supplemental  
2034 campaign finance statements with the commission pursuant to the  
2035 following schedule: (A) In the case of a primary campaign, on the  
2036 [next] second Thursday following the date in July on which treasurers  
2037 are required to file campaign finance statements pursuant to  
2038 subparagraph (A) of subdivision (1) of subsection (a) of section 9-608,  
2039 as amended by this act, and each Thursday thereafter up to and  
2040 including the Thursday before the day of the primary, and (B) in the  
2041 case of a general election campaign, on the [next] second Thursday  
2042 following the date in October on which candidates are required to file  
2043 campaign finance statements pursuant to subparagraph (A) of  
2044 subdivision (1) of subsection (a) of section 9-608, as amended by this  
2045 act, and each Thursday thereafter up to and including the Thursday  
2046 before the day of the election. The statement shall be complete as of



2047 eleven fifty-nine o'clock p.m. of the second day immediately preceding  
2048 the required filing day. The statement shall cover the period beginning  
2049 with the first day not included in the last filed statement.

2050 (3) Notwithstanding the provisions of subdivisions (1) and (2) of  
2051 this subsection, if a participating candidate committee in a primary  
2052 campaign or a general election campaign in which there is at least one  
2053 participating candidate makes expenditures or incurs an obligation to  
2054 make expenditures that, in the aggregate, exceed one hundred per cent  
2055 of the applicable expenditure limit for the applicable primary or  
2056 general election campaign period, the [campaign] treasurer of any such  
2057 candidate committee shall file a declaration of excess expenditures  
2058 statement with the commission, pursuant to the following schedule:  
2059 (A) If a candidate committee makes expenditures or incurs an  
2060 obligation to make such expenditures more than twenty days before  
2061 the day of such primary or election, the [campaign] treasurer of such  
2062 candidate shall file such statement with the commission not later than  
2063 forty-eight hours after making such expenditures or incurring an  
2064 obligation to make such expenditures, and (B) if a candidate committee  
2065 makes such expenditures or incurs an obligation to make such  
2066 expenditures twenty days or less before the day of such primary or  
2067 election, the [campaign] treasurer of such candidate shall file such  
2068 statement with the commission not later than twenty-four hours after  
2069 making such expenditures or incurring an obligation to make such  
2070 expenditures. The statement shall be complete as of eleven fifty-nine  
2071 o'clock p.m. of the first day immediately preceding the required filing  
2072 day. The statement shall cover a period beginning with the first day  
2073 not included in the last filed statement.

2074 (4) Notwithstanding the provisions of this subsection, the  
2075 statements required to be filed pursuant to subdivisions (1) and (2) of  
2076 this subsection shall not be required to be filed by (A) a candidate  
2077 committee of a candidate that is exempt from filing campaign finance  
2078 statements pursuant to subsection (b) of section 9-608 unless or until  
2079 such a candidate committee receives or expends an amount in excess

2080 of one thousand dollars for purposes of the primary or election for  
2081 which such committee was formed, (B) a candidate committee of a  
2082 candidate who is no longer eligible for a position on the ballot, or [(B)]  
2083 (C) a candidate committee of a participating candidate that is  
2084 unopposed, except that such candidate committee shall file a  
2085 supplemental statement on the last Thursday before the applicable  
2086 primary or general election. Such statement shall be complete as of  
2087 eleven fifty-nine o'clock p.m. of the second day immediately preceding  
2088 the required filing day. The statement shall cover a period beginning  
2089 with the first day not included in the last filed statement.

2090 (5) Each supplemental statement required under subdivision (1), (2)  
2091 or (3) of this subsection for a candidate shall disclose the information  
2092 required under subsection (c) of section 9-608. The commission shall  
2093 adopt regulations, in accordance with the provisions of chapter 54,  
2094 specifying permissible media for the transmission of such statements  
2095 to the commission, which shall include electronic [mail] filing.

2096 Sec. 18. Subsection (e) of section 9-615 of the general statutes is  
2097 repealed and the following is substituted in lieu thereof (*Effective from*  
2098 *passage*):

2099 (e) [No political committee established by an organization shall  
2100 make contributions to the committees designated in subsection (d) of  
2101 this section, which in the aggregate exceed fifteen thousand dollars in  
2102 any one calendar year.] Contributions to a political committee  
2103 established by an organization shall also be subject to the provisions of  
2104 section 9-618 in the case of a committee formed for ongoing political  
2105 activity or section 9-619 in the case of a committee formed for a single  
2106 election or primary.

2107 Sec. 19. Subsection (c) of section 9-611 of the general statutes is  
2108 repealed and the following is substituted in lieu thereof (*Effective from*  
2109 *passage*):

2110 (c) No individual shall make contributions to such candidates or

2111 committees which in the aggregate exceed [fifteen] thirty thousand  
2112 dollars for any single election and primary preliminary [thereto] to  
2113 such election.

2114 Sec. 20. Section 9-391 of the general statutes is repealed and the  
2115 following is substituted in lieu thereof (*Effective from passage*):

2116 (a) Each endorsement of a candidate to run in a primary for the  
2117 nomination of candidates for municipal office to be voted upon at a  
2118 municipal election, or for the election of town committee members  
2119 shall be made under the provisions of section 9-390 not earlier than the  
2120 fifty-sixth day or later than the forty-ninth day preceding the day of  
2121 such primary. In the case of an endorsement of a candidate for a  
2122 municipal office of state senator or state representative, such  
2123 endorsement may be made of a candidate whose name appears upon  
2124 the last-completed enrollment list of such party within the  
2125 municipality or political subdivision within which such candidate is to  
2126 run for nomination. The endorsement shall be certified to the clerk of  
2127 the municipality by either (1) the chairman or presiding officer, or (2)  
2128 the secretary of the town committee, caucus or convention, as the case  
2129 may be, not later than four o'clock p.m. on the forty-eighth day  
2130 preceding the day of such primary. Such certification shall contain the  
2131 name and street address of each person so endorsed, the title of the  
2132 office or the position as committee member and the name or number of  
2133 the political subdivision or district, if any, for which each such person  
2134 is endorsed. If such a certificate of a party's endorsement is not  
2135 received by the town clerk by such time, such certificate shall be  
2136 invalid and such party, for purposes of sections 9-417, 9-418 and 9-419,  
2137 shall be deemed to have neither made nor certified such endorsement  
2138 of any candidate for such office.

2139 (b) Each selection of delegates to a state or district convention shall  
2140 be made in accordance with the provisions of section 9-390 not earlier  
2141 than the one-hundred-fortieth day and not later than the one-hundred-  
2142 thirty-third day preceding the day of the primary for such state or  
2143 district office. Such selection shall be certified to the clerk of the

2144 municipality by the chairman or presiding officer and the secretary of  
2145 the town committee or caucus, as the case may be, not later than four  
2146 o'clock p.m. on the one-hundred-thirty-second day preceding the day  
2147 of such primary. Each such certification shall contain the name and  
2148 street address of each person so selected, the position as delegate, and  
2149 the name or number of the political subdivision or district, if any, for  
2150 which each such person is selected. If such a certificate of a party's  
2151 selection is not received by the town clerk by such time, such certificate  
2152 shall be invalid and such party, for purposes of sections 9-417 and 9-  
2153 420, shall be deemed to have neither made nor certified any selection  
2154 of any person for the position of delegate.

2155 (c) Each endorsement of a candidate to run in a primary for the  
2156 nomination of candidates for a municipal office to be voted upon at a  
2157 state election shall be made under the provisions of section 9-390 not  
2158 earlier than the eighty-fourth day or later than the seventy-seventh day  
2159 preceding the day of such primary. Any certification to be filed under  
2160 this subsection shall be received by the Secretary of the State, in the  
2161 case of a candidate for the office of state senator or state representative,  
2162 or the town clerk, in the case of a candidate for any other municipal  
2163 office to be voted upon at a state election, not later than four o'clock  
2164 p.m. on the fourteenth day after the close of the town committee  
2165 meeting, caucus or convention, as the case may be. If such a certificate  
2166 of a party's endorsement is not received by the Secretary of the State or  
2167 the town clerk, as the case may be, by such time, such certificate shall  
2168 be invalid and such party, for the purposes of sections 9-417 and 9-418,  
2169 shall be deemed to have neither made nor certified any endorsement of  
2170 any candidate for such office. The candidate so endorsed for a  
2171 municipal office to be voted upon at a state election, other than the  
2172 office of justice of the peace, shall file with the Secretary of the State or  
2173 the town clerk, as the case may be, a certificate, signed by that  
2174 candidate, stating that such candidate was so endorsed, the candidate's  
2175 name as the candidate authorizes it to appear on the ballot, the  
2176 candidate's full street address and the title and district of the office for  
2177 which the candidate was endorsed. Such certificate may be filed by a

2178 candidate whose name appears upon the last-completed enrollment  
2179 list of such party within the senatorial district within which the  
2180 candidate is endorsed to run for nomination in the case of the  
2181 municipal office of state senator, or the assembly district within which  
2182 a person is endorsed to run for nomination in the case of the municipal  
2183 office of state representative, or the municipality or political  
2184 subdivision within which a person is to run for nomination for other  
2185 municipal offices to be voted on at a state election. Such certificate  
2186 shall be attested by the chairman or presiding officer and the secretary  
2187 of the town committee, caucus or convention which made such  
2188 endorsement. The endorsement of candidates for the office of justice of  
2189 the peace shall be certified to the clerk of the municipality by the  
2190 chairman or presiding officer and the secretary of the town committee,  
2191 caucus or convention, and shall contain the name and street address of  
2192 each person so endorsed and the title of the office for which each such  
2193 person is endorsed.

2194 Sec. 21. Section 9-406 of the general statutes is repealed and the  
2195 following is substituted in lieu thereof (*Effective from passage*):

2196 A candidacy for nomination by a political party to a municipal  
2197 office or a candidacy for election as a member of a town committee  
2198 may be filed by or on behalf of any person whose name appears upon  
2199 the last-completed enrollment list of such party within the  
2200 [municipality or within the political subdivision,] senatorial district [or  
2201 assembly district] within which a person is to be nominated [or] in the  
2202 case of the municipal office of state senator, or the assembly district  
2203 within which a person is to be nominated in the case of the municipal  
2204 office of state representative, or the municipality or political  
2205 subdivision within which a person is to be nominated in the case of a  
2206 town committee member [is to be elected, as the case may be] or for  
2207 any other municipal office. Any such candidacy shall be filed by filing  
2208 with the registrar within the applicable time specified in section 9-405  
2209 a petition signed by (1) at least five per cent of the electors whose  
2210 names appear upon the last-completed enrollment list of such party in

2211 such municipality or in such political subdivision, senatorial district or  
2212 assembly district, or (2) such lesser number of such electors as such  
2213 party by its rules prescribes, as the case may be. For the purpose of  
2214 computing five per cent of the last-completed enrollment list, the  
2215 registrar shall use the last printed enrollment list and the printed  
2216 updated list, if any, of a political party certified and last completed by  
2217 the registrars of voters prior to the date the first primary petition was  
2218 issued, excluding therefrom the names of individuals who have ceased  
2219 to be electors.

2220 Sec. 22. (*Effective from passage*) Notwithstanding the provisions of  
2221 chapter 155 of the general statutes, any penalty imposed by the State  
2222 Elections Enforcement Commission due to a filing that was not  
2223 deemed to be received in a timely manner by said commission in  
2224 accordance with the provisions of section 9-608 of the general statutes,  
2225 as amended by this act, may be waived by said commission, provided  
2226 (1) such filing was due to be received by said commission during  
2227 January, 2012, and (2) said commission determines that the treasurer's  
2228 actions were such that the filing reasonably should have been received  
2229 on or before the deadline applicable to such filing.

2230 Sec. 23. Subsection (d) of section 9-608 of the general statutes is  
2231 repealed and the following is substituted in lieu thereof (*Effective from*  
2232 *passage*):

2233 (d) At the time of filing statements required under this section, the  
2234 [campaign] treasurer of each candidate committee shall send to the  
2235 candidate a duplicate statement and the [campaign] treasurer of each  
2236 party committee and each political committee other than an  
2237 exploratory committee shall send to the chairman of the committee a  
2238 duplicate statement. Each statement required to be filed with the  
2239 commission under this section, [subsection (e) of section 9-612] section  
2240 8 of this act, section 9-706, as amended by this act, or section 9-712, as  
2241 amended by this act, shall be deemed to be filed in a timely manner if:  
2242 (1) For a statement filed as a hard copy, including, but not limited to, a  
2243 statement delivered by the United States Postal Service, courier

2244 service, parcel service or hand delivery, the statement is received by  
2245 the commission by five o'clock p.m. on the day the statement is  
2246 required to be filed, (2) for a statement authorized by the commission  
2247 to be filed electronically, including, but not limited to, a statement filed  
2248 via dedicated electronic mail, facsimile machine, a web-based program  
2249 created by the commission or other electronic means, the statement is  
2250 transmitted to the commission not later than eleven fifty-nine o'clock  
2251 p.m. on the day the statement is required to be filed, or (3) for a  
2252 statement required to be filed pursuant to [subsection (e) of section 9-  
2253 612] section 8 of this act, section 9-706, as amended by this act, or  
2254 section 9-712, as amended by this act, by the deadline specified in each  
2255 such section. Any other filing required to be filed with a town clerk  
2256 pursuant to this section shall be deemed to be filed in a timely manner  
2257 if it is delivered by hand to the office of the town clerk in accordance  
2258 with the provisions of section 9-603 before four-thirty o'clock p.m. or  
2259 postmarked by the United States Postal Service before midnight on the  
2260 required filing day. If the day for any filing falls on a Saturday, Sunday  
2261 or legal holiday, the statement shall be filed on the next business day  
2262 thereafter. The State Elections Enforcement Commission shall not levy  
2263 a penalty upon a treasurer for failure to file a hard copy of a statement  
2264 in a timely manner in accordance with the provisions of this section, if  
2265 such treasurer has a copy of the statement time stamped by the State  
2266 Elections Enforcement Commission that shows timely receipt of the  
2267 statement, or the treasurer has a return receipt from the United States  
2268 Postal Service or a similar receipt from a commercial delivery service  
2269 confirming timely receipt of such statement by said commission.

2270 Sec. 24. Subdivision (3) of subsection (a) of section 9-7b of the  
2271 general statutes is repealed and the following is substituted in lieu  
2272 thereof (*Effective from passage*):

2273 (3) (A) To issue an order requiring any person the commission finds  
2274 to have received any contribution or payment which is prohibited by  
2275 any of the provisions of chapter 155 or 157, after an opportunity to be  
2276 heard at a hearing conducted in accordance with the provisions of

2277 sections 4-176e to 4-184, inclusive, to return such contribution or  
2278 payment to the donor or payor, or to remit such contribution or  
2279 payment to the state for deposit in the General Fund or the Citizens'  
2280 Election Fund, whichever is deemed necessary to effectuate the  
2281 purposes of chapter 155 or 157, as the case may be;

2282 (B) To issue an order when the commission finds that an intentional  
2283 violation of any provision of chapter 155 or 157 has been committed,  
2284 after an opportunity to be heard at a hearing conducted in accordance  
2285 with sections 4-176e to 4-184, inclusive, which order may contain one  
2286 or more of the following sanctions: (i) Removal of a campaign  
2287 treasurer, deputy campaign treasurer or solicitor; (ii) prohibition on  
2288 serving as a campaign treasurer, deputy campaign treasurer or  
2289 solicitor; [ for a period not to exceed four years;] and (iii) in the case of  
2290 a party committee or a political committee, suspension of all political  
2291 activities, including, but not limited to, the receipt of contributions and  
2292 the making of expenditures, provided the commission may not order  
2293 such a suspension unless the commission has previously ordered the  
2294 removal of the campaign treasurer and notifies the officers of the  
2295 committee that the commission is considering such suspension;

2296 (C) To issue an order revoking any person's eligibility to be  
2297 appointed or serve as an election, primary or referendum official or  
2298 unofficial checker or in any capacity at the polls on the day of an  
2299 election, primary or referendum, when the commission finds such  
2300 person has intentionally violated any provision of the general statutes  
2301 relating to the conduct of an election, primary or referendum, after an  
2302 opportunity to be heard at a hearing conducted in accordance with  
2303 sections 4-176e to 4-184, inclusive;

2304 (D) To issue an order to enforce the provisions of the Help America  
2305 Vote Act, P.L. 107-252, as amended from time to time, as the  
2306 commission deems appropriate;

2307 (E) To issue an order following the commission's determination of  
2308 the right of an individual to be or remain an elector when such



determination is made (i) pursuant to an appeal taken to the commission from a decision of the registrars of voters or board of admission of electors under section 9-31l, or (ii) following the commission's investigation pursuant to subdivision (1) of this subsection;

(F) To issue a cease and desist order for violation of any general statute or regulation under the commission's jurisdiction and to take reasonable actions necessary to compel compliance with such statute or regulation;

Sec. 25. Subsection (d) of section 9-606 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(d) No person shall act as a [campaign] treasurer or deputy [campaign] treasurer (1) unless the person is an elector of this state, the person has paid any civil penalties or forfeitures assessed pursuant to chapters 155 to 157, inclusive, and a statement, signed by the chairman in the case of a party committee or political committee or by the candidate in the case of a candidate committee, designating the person as [campaign] treasurer or deputy [campaign] treasurer, has been filed in accordance with section 9-603, and (2) if such person has been convicted of or pled guilty or nolo contendere to, in a court of competent jurisdiction, any (A) felony involving fraud, forgery, larceny, embezzlement or bribery, or (B) criminal offense under this title, unless at least eight years have elapsed from the date of the conviction or plea or the completion of any sentence, whichever date is later, without a subsequent conviction of or plea to another such felony or offense. In the case of a political committee, the filing of a statement of organization by the chairman of the committee, in accordance with the provisions of section 9-605, as amended by this act, shall constitute compliance with the filing requirements of this section. No provision of this subsection shall prevent the [campaign] treasurer, deputy [campaign] treasurer or solicitor of any committee from being the [campaign] treasurer, deputy [campaign] treasurer or solicitor of any

2342 other committee or prevent any committee from having more than one  
2343 solicitor, but no candidate shall have more than one [campaign]  
2344 treasurer. A candidate shall not serve as the candidate's own  
2345 [campaign] treasurer or deputy [campaign] treasurer, except that a  
2346 candidate who is exempt from forming a candidate committee under  
2347 subsection (b) of section 9-604 and has filed a certification that the  
2348 candidate is financing the candidate's campaign from the candidate's  
2349 own personal funds or is not receiving or expending in excess of one  
2350 thousand dollars may perform the duties of a [campaign] treasurer for  
2351 the candidate's own campaign.

2352 Sec. 26. Subsection (a) of section 9-706 of the general statutes is  
2353 amended by adding subdivision (5) as follows (*Effective from passage*):

2354 (NEW) (5) Notwithstanding the provisions of this subsection, no  
2355 candidate may apply to the State Elections Enforcement Commission  
2356 for a grant from the fund under the Citizens' Election Program if such  
2357 candidate has been convicted of or pled guilty or nolo contendere to, in  
2358 a court of competent jurisdiction, any (A) criminal offense under this  
2359 title unless at least eight years have elapsed from the date of the  
2360 conviction or plea or the completion of any sentence, whichever date is  
2361 later, without a subsequent conviction of or plea to another such  
2362 offense, or (B) a felony related to the individual's public office, other  
2363 than an offense under this title in accordance with subparagraph (A) of  
2364 this subdivision.

2365 Sec. 27. Subsection (b) of section 9-706 of the general statutes is  
2366 repealed and the following is substituted in lieu thereof (*Effective from*  
2367 *passage*):

2368 (b) The application shall include a written certification that:

2369 (1) The candidate committee has received the required amount of  
2370 qualifying contributions;

2371 (2) The candidate committee has repaid all moneys borrowed on  
2372 behalf of the campaign, as required by subsection (b) of section 9-710;

2373 (3) The candidate committee has returned any contribution of five  
2374 dollars or more from an individual who does not include the  
2375 individual's name and address with the contribution;

2376 (4) The candidate committee has returned all contributions or  
2377 portions of contributions that do not meet the criteria for qualifying  
2378 contributions under section 9-704 and transmitted all excess qualifying  
2379 contributions to the Citizens' Election Fund;

2380 (5) The [campaign] treasurer of the candidate committee will: (A)  
2381 Comply with the provisions of chapters 155 and 157, and (B) maintain  
2382 and furnish all records required pursuant to chapters 155 and 157 and  
2383 any regulation adopted pursuant to such chapters;

2384 (6) All moneys received from the Citizens' Election Fund will be  
2385 deposited upon receipt into the depository account of the candidate  
2386 committee;

2387 (7) The [campaign] treasurer of the candidate committee will  
2388 expend all moneys received from the fund in accordance with the  
2389 provisions of subsection (g) of section 9-607, as amended by this act,  
2390 and regulations adopted by the State Elections Enforcement  
2391 Commission under subsection (e) of this section; [and]

2392 (8) If the candidate withdraws from the campaign, becomes  
2393 ineligible or dies during the campaign, the candidate committee of the  
2394 candidate will return to the commission, for deposit in the fund, all  
2395 moneys received from the fund pursuant to sections 9-700 to 9-716,  
2396 inclusive, which said candidate committee has not spent as of the date  
2397 of such occurrence; [.]

2398 (9) All outstanding civil penalties or forfeitures assessed pursuant to  
2399 chapters 155 to 157, inclusive, against the current or any former  
2400 committee of the candidate have been paid, provided (A) in the case of  
2401 any candidate seeking nomination for or election to the office of  
2402 Governor, Lieutenant Governor, Attorney General, State Comptroller,  
2403 Secretary of the State or State Treasurer, any such penalty or forfeiture

2404 was assessed not later than twenty-four months prior to the  
2405 submission of an application pursuant to this section; or (B) in the case  
2406 of any candidate seeking nomination for or election to the office of  
2407 state senator or state representative, any such penalty or forfeiture was  
2408 assessed not later than twelve months prior to the submission of an  
2409 application pursuant to this section;

2410 (10) The treasurer has paid any civil penalties or forfeitures assessed  
2411 pursuant to chapters 155 to 157, inclusive, and has not been convicted  
2412 of or pled guilty or nolo contendere to, in a court of competent  
2413 jurisdiction, any (A) felony involving fraud, forgery, larceny,  
2414 embezzlement or bribery, or (B) criminal offense under this title, unless  
2415 at least eight years have elapsed from the date of the conviction or plea  
2416 or the completion of any sentence, whichever date is later, without a  
2417 subsequent conviction of or plea to another such felony or offense;

2418 (11) The candidate has not been convicted of or pled guilty or nolo  
2419 contendere to, in a court of competent jurisdiction, a criminal offense  
2420 under this title unless at least eight years have elapsed from the date of  
2421 the conviction or plea or the completion of any sentence, whichever  
2422 date is later, without a subsequent conviction of or plea to another  
2423 such offense; and

2424 (12) The candidate has never been convicted of or pled guilty or  
2425 nolo contendere to, in a court of competent jurisdiction, a felony  
2426 related to the individual's public office, other than a criminal offense  
2427 under this title in accordance with subdivision (11) of this subsection.

2428 Sec. 28. Section 9-718 of the general statutes is repealed and the  
2429 following is substituted in lieu thereof (*Effective from passage*):

2430 (a) Notwithstanding any provision of the general statutes and  
2431 except as provided in subsection (e) of this section, no [party] town  
2432 committee, legislative caucus committee or legislative leadership  
2433 committee shall make an organization expenditure for the benefit of a  
2434 participating candidate or the candidate committee of a participating

2435 candidate in the Citizens' Election Program for the office of state  
2436 senator in an amount that exceeds ten thousand dollars for the general  
2437 election campaign.

2438 (b) Notwithstanding any provision of the general statutes no party  
2439 committee, legislative caucus committee or legislative leadership  
2440 committee shall make an organization expenditure for the purposes  
2441 described in subparagraph (A) of subdivision (25) of section 9-601, as  
2442 amended by this act, for the benefit of a participating candidate or the  
2443 candidate committee of a participating candidate in the Citizens'  
2444 Election Program for the office of state senator for the primary  
2445 campaign.

2446 (c) Notwithstanding any provision of the general statutes and  
2447 except as provided in subsection (e) of this section, no [party] town  
2448 committee, legislative caucus committee or legislative leadership  
2449 committee shall make an organization expenditure for the benefit of a  
2450 participating candidate or the candidate committee of a participating  
2451 candidate in the Citizens' Election Program for the office of state  
2452 representative in an amount that exceeds three thousand five hundred  
2453 dollars for the general election campaign.

2454 (d) Notwithstanding any provision of the general statutes, no party  
2455 committee, legislative caucus committee or legislative leadership  
2456 committee shall make an organization expenditure for the purposes  
2457 described in subparagraph (A) of subdivision (25) of section 9-601, as  
2458 amended by this act, for the benefit of a participating candidate or the  
2459 candidate committee of a participating candidate in the Citizens'  
2460 Election Program for the office of state representative for the primary  
2461 campaign.

2462 (e) For any election held in 2014, and thereafter, the amount of the  
2463 limitations on organization expenditures provided in subsections (a)  
2464 and (c) of this section shall be adjusted by the State Elections  
2465 Enforcement Commission not later than January 15, 2014, and  
2466 biennially thereafter, in accordance with any change in the consumer

2467 price index for all urban consumers as published by the United States  
2468 Department of Labor, Bureau of Labor Statistics, during the period  
2469 beginning on January 1, 2010, and ending on December thirty-first in  
2470 the year preceding the year in which said adjustment is to be made.

2471 Sec. 29. Section 9-707 of the general statutes is repealed and the  
2472 following is substituted in lieu thereof (*Effective from passage*):

2473 Following the initial deposit of moneys from the Citizens' Election  
2474 Fund into the depository account of a qualified candidate committee,  
2475 no contribution, loan, amount of the candidate's own moneys or any  
2476 other moneys received by the candidate or the campaign treasurer on  
2477 behalf of the committee shall be deposited into said depository  
2478 account, except grants from the fund, and reimbursement from  
2479 another candidate committee for shared expenses as provided  
2480 pursuant to subsection (b) of section 9-610, as amended by this act.

2481 Sec. 30. Subsection (b) of section 9-610 of the general statutes is  
2482 repealed and the following is substituted in lieu thereof (*Effective from*  
2483 *passage*):

2484 (b) A candidate committee may pay or reimburse another candidate  
2485 committee for its pro rata share of the expenses of operating a  
2486 campaign headquarters and of preparing, printing and disseminating  
2487 any political communication on behalf of that candidate and any other  
2488 candidate or candidates, including any shared expenses for which only  
2489 the committee being paid or reimbursed was under a contractual  
2490 obligation to pay. Notwithstanding the provisions of subdivision (1) of  
2491 subsection (a) of section 9-616, a candidate committee may reimburse a  
2492 party committee for any expenditure such party committee has  
2493 incurred for the benefit of such candidate committee.

2494 Sec. 31. Subdivision (3) of subsection (e) of section 9-605 of the  
2495 general statutes is repealed and the following is substituted in lieu  
2496 thereof (*Effective from passage*):

2497 (3) [The] (A) Except as provided in subparagraph (B) of this

2498 subdivision, the speaker of the House of Representatives, majority  
2499 leader of the House of Representatives, president pro tempore of the  
2500 Senate and majority leader of the Senate may each establish a single  
2501 legislative leadership committee, and the minority leader of the House  
2502 of Representatives and the minority leader of the Senate may each  
2503 establish two legislative leadership committees. The chairperson of  
2504 each such committee shall certify the designation of such committee as  
2505 a legislative leadership committee and shall file such certification  
2506 along with the statement of organization pursuant to subsection (a) of  
2507 this section. Each such committee shall be identified in such  
2508 designation by the General Assembly leader who establishes the  
2509 committee. A legislative leadership committee shall not be subject to  
2510 the limitation in subdivision (1) of this subsection on the establishment  
2511 or control of one political committee by any individual.

2512 (B) The majority leaders-elect and minority leaders-elect of the  
2513 House of Representatives and Senate may each establish a legislative  
2514 leadership committee, provided any other leadership committee for  
2515 the same leadership position held by an individual who is leaving that  
2516 leadership position declines to accept contributions and the legislative  
2517 leadership committee for the leader-elect does not accept contributions  
2518 for the remainder of the calendar year that would otherwise be  
2519 prohibited if such contributions were directed to the leadership  
2520 committee of the individual who is leaving that leadership position  
2521 due to contribution limits on the contributor pursuant to sections 9-  
2522 610, as amended by this act, 9-612, as amended by this act, and 9-617.

2523 Sec. 32. Subsections (e) and (f) of section 9-705 of the general statutes  
2524 are repealed and the following is substituted in lieu thereof (*Effective*  
2525 *from passage*):

2526 (e) (1) The qualified candidate committee of a major party candidate  
2527 for the office of state senator who has a primary for nomination to said  
2528 office shall be eligible to receive a grant from the fund for the primary  
2529 campaign in the amount of thirty-five thousand dollars, provided (A)  
2530 if the percentage of the electors in the district served by said office who

2531 are enrolled in said major party exceeds the percentage of the electors  
2532 in said district who are enrolled in another major party by at least  
2533 twenty percentage points, the amount of said grant shall be seventy-  
2534 five thousand dollars, and (B) in the case of a primary held in 2010, or  
2535 thereafter, said amounts shall be adjusted under subsection (h) of this  
2536 section. For the purposes of subparagraph (A) of this subdivision, the  
2537 number of enrolled members of a major party and the number of  
2538 electors in a district shall be determined by the latest enrollment and  
2539 voter registration records in the office of the Secretary of the State  
2540 submitted in accordance with the provisions of section 9-65. The names  
2541 of electors on the inactive registry list compiled under section 9-35  
2542 shall not be counted for such purposes.

2543 (2) The qualified candidate committee of a candidate for the office of  
2544 state senator who has been nominated, or has qualified to appear on  
2545 the election ballot in accordance with subpart C of part III of chapter  
2546 153, shall be eligible to receive a grant from the fund for the general  
2547 election campaign in the amount of eighty-five thousand dollars,  
2548 provided in the case of an election held in 2010, or thereafter, said  
2549 amount shall be adjusted under subsection (h) of this section.

2550 (3) (A) In the case of an adjourned primary pursuant to section 9-  
2551 446, a qualified candidate committee of a major party candidate for the  
2552 office of state senator who appears on the ballot for such adjourned  
2553 primary shall be eligible to receive a grant from the fund for the  
2554 adjourned primary in an amount of fifteen thousand dollars, provided  
2555 in the case of a primary held in 2016, or thereafter, said amount shall  
2556 be adjusted under subsection (h) of this section.

2557 (B) In the case of an adjourned election pursuant to section 9-332, a  
2558 qualified candidate committee of a candidate for the office of state  
2559 senator who has been nominated, or has qualified to appear on the  
2560 election ballot in accordance with subpart C of part III of chapter 153,  
2561 and who appears on the ballot for such adjourned election shall be  
2562 eligible to receive a grant from the fund for the general election  
2563 campaign in the amount of fifteen thousand dollars, provided in the



2564 case of an election held in 2016, or thereafter, said amount shall be  
2565 adjusted under subsection (h) of this section.

2566 (f) (1) The qualified candidate committee of a major party candidate  
2567 for the office of state representative who has a primary for nomination  
2568 to said office shall be eligible to receive a grant from the fund for the  
2569 primary campaign in the amount of ten thousand dollars, provided (A)  
2570 if the percentage of the electors in the district served by said office who  
2571 are enrolled in said major party exceeds the percentage of the electors  
2572 in said district who are enrolled in another major party by at least  
2573 twenty percentage points, the amount of said grant shall be twenty-  
2574 five thousand dollars, and (B) in the case of a primary held in 2010, or  
2575 thereafter, said amounts shall be adjusted under subsection (h) of this  
2576 section. For the purposes of subparagraph (A) of this subdivision, the  
2577 number of enrolled members of a major party and the number of  
2578 electors in a district shall be determined by the latest enrollment and  
2579 voter registration records in the office of the Secretary of the State  
2580 submitted in accordance with the provisions of section 9-65. The names  
2581 of electors on the inactive registry list compiled under section 9-35  
2582 shall not be counted for such purposes.

2583 (2) The qualified candidate committee of a candidate for the office of  
2584 state representative who has been nominated, or has qualified to  
2585 appear on the election ballot in accordance with subpart C of part III of  
2586 chapter 153, shall be eligible to receive a grant from the fund for the  
2587 general election campaign in the amount of twenty-five thousand  
2588 dollars, provided in the case of an election held in 2010, or thereafter,  
2589 said amount shall be adjusted under subsection (h) of this section.

2590 (3) (A) In the case of an adjourned primary pursuant to section 9-  
2591 446, a qualified candidate committee of a major party candidate for the  
2592 office of state representative who appears on the ballot for such  
2593 adjourned primary shall be eligible to receive a grant from the fund for  
2594 the adjourned primary in an amount of five thousand dollars,  
2595 provided in the case of a primary held in 2016, or thereafter, said  
2596 amount shall be adjusted under subsection (h) of this section.

2597        (B) In the case of an adjourned election pursuant to section 9-332, a  
2598        qualified candidate committee of a candidate for the office of state  
2599        representative who has been nominated, or has qualified to appear on  
2600        the election ballot in accordance with subpart C of part III of chapter  
2601        153, and who appears on the ballot for such adjourned election shall be  
2602        eligible to receive a grant from the fund for the general election  
2603        campaign in the amount of five thousand dollars, provided in the case  
2604        of an election held in 2016, or thereafter, said amount shall be adjusted  
2605        under subsection (h) of this section.

2606        Sec. 33. Subsection (a) of section 9-602 of the general statutes is  
2607        repealed and the following is substituted in lieu thereof (*Effective from*  
2608        *passage*):

2609        (a) Except with respect to an individual acting alone, or with respect  
2610        to a group of two or more individuals acting together that receives  
2611        funds or makes or incurs expenditures not exceeding one thousand  
2612        dollars in the aggregate, no contributions may be made, solicited or  
2613        received and no expenditures, other than independent expenditures,  
2614        may be made, directly or indirectly, in aid of or in opposition to the  
2615        candidacy for nomination or election of any individual or any party or  
2616        referendum question, unless (1) the candidate or chairman of the  
2617        committee has filed a designation of a campaign treasurer and a  
2618        depository institution situated in this state as the depository for the  
2619        committee's funds, or (2) the candidate has filed a certification in  
2620        accordance with the provisions of section 9-604. In the case of a  
2621        political committee, the filing of the statement of organization by the  
2622        chairman of such committee, in accordance with the provisions of  
2623        section 9-605, as amended by this act, shall constitute compliance with  
2624        the provisions of this subsection.

2625        Sec. 34. Subdivision (2) of subsection (b) of section 3-13l of the  
2626        general statutes is repealed and the following is substituted in lieu  
2627        thereof (*Effective from passage*):

2628        (2) "Finder's fee" does not mean (A) (i) compensation earned for the

2629 rendering of investment services, as defined in subsection [(f)] (e) of  
2630 section 9-612, as amended by this act, or for acting as a licensed real  
2631 estate broker or real estate sales person under the provisions of section  
2632 20-312, or under a comparable statute of the jurisdiction in which the  
2633 subject property is located, or (ii) marketing fees or due diligence fees  
2634 earned by the payee in connection with the offer, sale or purchase of  
2635 any security or investment interest, in accordance with criteria  
2636 prescribed under subparagraph (C) (ii) of subdivision (3) of this  
2637 subsection, (B) compensation paid to (i) persons who are investment  
2638 professionals engaged in the ongoing business of representing  
2639 investment services providers, or (ii) third parties for services  
2640 connected to the issuance of debt by the state, any political subdivision  
2641 of the state or any quasi-public agency, as defined in section 1-120, and  
2642 (C) any compensation which is so defined by the regulations adopted  
2643 under subparagraph (C) (ii) of subdivision (3) of this subsection, or any  
2644 compensation which meets criteria prescribed by the Treasurer until  
2645 such regulations are adopted. As used in this section, "offer" and "sale"  
2646 have the meaning provided in section 36b-3.

2647 Sec. 35. Subsection (f) of section 9-608 of the general statutes is  
2648 repealed and the following is substituted in lieu thereof (*Effective from*  
2649 *passage*):

2650 (f) If an exploratory committee has been established by a candidate  
2651 pursuant to subsection (c) of section 9-604, the campaign treasurer of  
2652 the committee shall file a notice of intent to dissolve it with the  
2653 appropriate authority not later than fifteen days after the candidate's  
2654 declaration of intent to seek nomination or election to a particular  
2655 public office, except that in the case of an exploratory committee  
2656 established by a candidate for purposes that include aiding or  
2657 promoting the candidate's candidacy for nomination or election to the  
2658 General Assembly or a state office, the campaign treasurer of the  
2659 committee shall file such notice of intent to dissolve the committee not  
2660 later than fifteen days after the earlier of: (1) The candidate's  
2661 declaration of intent to seek nomination or election to a particular

2662 public office, (2) the candidate's endorsement at a convention, caucus  
2663 or town committee meeting, or (3) the candidate's filing of a candidacy  
2664 for nomination under section 9-400 or 9-405. The campaign treasurer  
2665 shall also file a statement identifying all contributions received or  
2666 expenditures made by the exploratory committee since the previous  
2667 statement and the balance on hand or deficit, as the case may be. In the  
2668 event of a surplus, the campaign treasurer shall, not later than the  
2669 filing of the statement, distribute the surplus to the candidate  
2670 committee established pursuant to said section, except that (A) in the  
2671 case of a surplus of an exploratory committee established by a  
2672 candidate who intends to be a participating candidate, as defined in  
2673 section 9-703, in the Citizens' Election Program, the campaign treasurer  
2674 may distribute to the candidate committee only that portion of such  
2675 surplus that is attributable to contributions that meet the criteria for  
2676 qualifying contributions for the candidate committee under section 9-  
2677 704 and shall distribute the remainder of such surplus to the Citizens'  
2678 Election Fund established in section 9-701, and (B) in the case of a  
2679 surplus of an exploratory committee established for nomination or  
2680 election to an office other than the General Assembly or a state office  
2681 (i) the campaign treasurer may only distribute to the candidate  
2682 committee for nomination or election to the General Assembly or state  
2683 office of such candidate that portion of such surplus which is in excess  
2684 of the total contributions which the exploratory committee received  
2685 from lobbyists or political committees established by lobbyists, during  
2686 any period in which the prohibitions in subsection (e) of section 9-610  
2687 apply, and (ii) any remaining amount shall be returned to all such  
2688 lobbyists and political committees established by or on behalf of  
2689 lobbyists, on a prorated basis of contribution, or distributed to any  
2690 charitable organization which is a tax-exempt organization under  
2691 Section 501(c)(3) of the Internal Revenue Code of 1986, or any  
2692 subsequent corresponding internal revenue code of the United States,  
2693 as from time to time amended. If the candidate decides not to seek  
2694 nomination or election to any office, the campaign treasurer shall,  
2695 within fifteen days after such decision, comply with the provisions of  
2696 this subsection and distribute any surplus in the manner provided by

2697 this section for political committees other than those formed for  
2698 ongoing political activities, except that if the surplus is from an  
2699 exploratory committee established by the State Treasurer, any portion  
2700 of the surplus that is received from a principal of an investment  
2701 services firm or a political committee established by such firm shall be  
2702 returned to such principal or committee on a prorated basis of  
2703 contribution. In the event of a deficit, the campaign treasurer shall file  
2704 a statement thirty days after the decision or declaration with the  
2705 proper authority and, thereafter, on the seventh day of each month  
2706 following if on the last day of the previous month there was an  
2707 increase or decrease in such deficit in excess of five hundred dollars  
2708 from that reported on the last statement filed. The campaign treasurer  
2709 shall file supplemental statements until the deficit is eliminated. If the  
2710 exploratory committee does not have a surplus or deficit, the statement  
2711 filed after the candidate's declaration or decision shall be the last  
2712 required statement. If a candidate certifies on the statement of  
2713 organization for the exploratory committee pursuant to subsection (c)  
2714 of section 9-604 that the candidate will not be a candidate for the office  
2715 of state representative and subsequently establishes a candidate  
2716 committee for the office of state representative, the campaign treasurer  
2717 of the candidate committee shall pay to the State Treasurer, for deposit  
2718 in the General Fund, an amount equal to the portion of any  
2719 contribution received by said exploratory committee that exceeded  
2720 two hundred fifty dollars. As used in this subsection, "principal of an  
2721 investment services firm" has the meaning set forth in subsection [(f)]  
2722 (e) of section 9-612, as amended by this act, and "state office" has the  
2723 same meaning set forth in subsection (e) of section 9-610.

2724 Sec. 36. Subsection (e) of section 1-79 of the general statutes is  
2725 repealed and the following is substituted in lieu thereof (*Effective from*  
2726 *passage*):

2727 (e) "Gift" means anything of value, which is directly and personally  
2728 received, unless consideration of equal or greater value is given in  
2729 return. "Gift" shall not include:

2730 (1) A political contribution otherwise reported as required by law or  
2731 a donation or payment as described in subdivision (9) or (10) of  
2732 subsection (b) of section 9-601a, as amended by this act;

2733 (2) Services provided by persons volunteering their time, if  
2734 provided to aid or promote the success or defeat of any political party,  
2735 any candidate or candidates for public office or the position of  
2736 convention delegate or town committee member or any referendum  
2737 question;

2738 (3) A commercially reasonable loan made on terms not more  
2739 favorable than loans made in the ordinary course of business;

2740 (4) A gift received from (A) an individual's spouse, fiance or fiancée,  
2741 (B) the parent, brother or sister of such spouse or such individual, or  
2742 (C) the child of such individual or the spouse of such child;

2743 (5) Goods or services (A) which are provided to a state agency or  
2744 quasi-public agency (i) for use on state or quasi-public agency  
2745 property, or (ii) that support an event, and (B) which facilitate state or  
2746 quasi-public agency action or functions. As used in this subdivision,  
2747 "state property" means (i) property owned by the state or a quasi-  
2748 public agency, or (ii) property leased to a state agency or quasi-public  
2749 agency;

2750 (6) A certificate, plaque or other ceremonial award costing less than  
2751 one hundred dollars;

2752 (7) A rebate, discount or promotional item available to the general  
2753 public;

2754 (8) Printed or recorded informational material germane to state  
2755 action or functions;

2756 (9) Food or beverage or both, costing less than fifty dollars in the  
2757 aggregate per recipient in a calendar year, and consumed on an  
2758 occasion or occasions at which the person paying, directly or

2759 indirectly, for the food or beverage, or his representative, is in  
2760 attendance;

2761 (10) Food or beverage or both, costing less than fifty dollars per  
2762 person and consumed at a publicly noticed legislative reception to  
2763 which all members of the General Assembly are invited and which is  
2764 hosted not more than once in any calendar year by a lobbyist or  
2765 business organization. For the purposes of such limit, (A) a reception  
2766 hosted by a lobbyist who is an individual shall be deemed to have also  
2767 been hosted by the business organization which he owns or is  
2768 employed by, and (B) a reception hosted by a business organization  
2769 shall be deemed to have also been hosted by all owners and employees  
2770 of the business organization who are lobbyists. In making the  
2771 calculation for the purposes of such fifty-dollar limit, the donor shall  
2772 divide the amount spent on food and beverage by the number of  
2773 persons whom the donor reasonably expects to attend the reception;

2774 (11) Food or beverage or both, costing less than fifty dollars per  
2775 person and consumed at a publicly noticed reception to which all  
2776 members of the General Assembly from a region of the state are  
2777 invited and which is hosted not more than once in any calendar year  
2778 by a lobbyist or business organization. For the purposes of such limit,  
2779 (A) a reception hosted by a lobbyist who is an individual shall be  
2780 deemed to have also been hosted by the business organization which  
2781 he owns or is employed by, and (B) a reception hosted by a business  
2782 organization shall be deemed to have also been hosted by all owners  
2783 and employees of the business organization who are lobbyists. In  
2784 making the calculation for the purposes of such fifty-dollar limit, the  
2785 donor shall divide the amount spent on food and beverage by the  
2786 number of persons whom the donor reasonably expects to attend the  
2787 reception. As used in this subdivision, "region of the state" means the  
2788 established geographic service area of the organization hosting the  
2789 reception;

2790 (12) A gift, including, but not limited to, food or beverage or both,  
2791 provided by an individual for the celebration of a major life event,

2792 provided any such gift provided by an individual who is not a  
2793 member of the family of the recipient shall not exceed one thousand  
2794 dollars in value;

2795 (13) Gifts costing less than one hundred dollars in the aggregate or  
2796 food or beverage provided at a hospitality suite at a meeting or  
2797 conference of an interstate legislative association, by a person who is  
2798 not a registrant or is not doing business with the state of Connecticut;

2799 (14) Admission to a charitable or civic event, including food and  
2800 beverage provided at such event, but excluding lodging or travel  
2801 expenses, at which a public official or state employee participates in  
2802 his official capacity, provided such admission is provided by the  
2803 primary sponsoring entity;

2804 (15) Anything of value provided by an employer of (A) a public  
2805 official, (B) a state employee, or (C) a spouse of a public official or state  
2806 employee, to such official, employee or spouse, provided such benefits  
2807 are customarily and ordinarily provided to others in similar  
2808 circumstances;

2809 (16) Anything having a value of not more than ten dollars, provided  
2810 the aggregate value of all things provided by a donor to a recipient  
2811 under this subdivision in any calendar year shall not exceed fifty  
2812 dollars;

2813 (17) Training that is provided by a vendor for a product purchased  
2814 by a state or quasi-public agency which is offered to all customers of  
2815 such vendor; [or]

2816 (18) Travel expenses, lodging, food, beverage and other benefits  
2817 customarily provided by a prospective employer, when provided to a  
2818 student at a public institution of higher education whose employment  
2819 is derived from such student's status as a student at such institution, in  
2820 connection with bona fide employment discussions; [.] or

2821 (19) Expenses of a public official, paid by the party committee of



2822 which party such official is a member, for the purpose of  
2823 accomplishing the lawful purposes of the committee. As used in this  
2824 subdivision, "party committee" has the same meaning as provided in  
2825 subdivision (2) of section 9-601, as amended by this act, and "lawful  
2826 purposes of the committee" has the same meaning as provided in  
2827 subsection (g) of section 9-607, as amended by this act.

2828       Sec. 37. Subsection (a) of section 9-7a of the general statutes is  
2829 repealed and the following is substituted in lieu thereof (*Effective from*  
2830 *passage*):

2831       (a) There is established, within the Office of Governmental  
2832 Accountability established under section 1-300, a State Elections  
2833 Enforcement Commission to consist of five members, not more than  
2834 two of whom shall be members of the same political party and at least  
2835 one of whom shall not be affiliated with any political party.

2836       (1) Of the members first appointed [hereunder] under this  
2837 subsection, one shall be appointed by the minority leader of the House  
2838 of Representatives and shall hold office for a term of one year from  
2839 July 1, 1974; one shall be appointed by the minority leader of the  
2840 Senate and shall hold office for a term of three years from said July  
2841 first; one shall be appointed by the speaker of the House of  
2842 Representatives and shall hold office for a term of one year from said  
2843 July first; one shall be appointed by the president pro tempore of the  
2844 Senate and shall hold office for a term of three years from said July  
2845 first [,] and one shall be appointed by the Governor, provided [that]  
2846 such member shall not be affiliated with any political party, and shall  
2847 hold office for a term of five years from said July first, except members  
2848 appointed on or after July 1, 2011.

2849       (2) On and after July 1, 2011, members shall be appointed for terms  
2850 of three years from July first in the year of their appointment and shall  
2851 be appointed by the person holding the same office as was held by the  
2852 person making the original appointment, provided any person chosen  
2853 to fill a vacancy shall be appointed only for the unexpired term of the

2854 member whom he or she shall succeed. On and after July 1, 2011, no  
2855 member may serve more than two consecutive terms, except that any  
2856 member serving on said date, may serve until a successor is appointed  
2857 and has qualified. All appointments shall be made with the consent of  
2858 the state Senate and House of Representatives. No person who has  
2859 served [within the previous three years as a public official or who has  
2860 served within the previous three years] during any part of the three-  
2861 year period prior to the appointment as a political party officer, shall  
2862 be appointed to membership on the commission. For purposes of this  
2863 subsection, [the term "public official" means an individual who holds  
2864 or has held a state, district or municipal office as defined in section 9-  
2865 372 but shall not include a justice of the peace or a notary public and  
2866 the term] "political party officer" means an officer [or member] of a  
2867 national committee of a political party, state central or town  
2868 committee, [, or any person employed by any such committee for  
2869 compensation.] The commission shall elect one of its members to serve  
2870 as chairperson and another member to serve as vice-chairperson. Each  
2871 member of the commission shall be compensated at the rate of two  
2872 hundred dollars per day for any day on which he participates in a  
2873 regular commission meeting or hearing, and shall be paid by the state  
2874 for his reasonable expenses, including necessary stenographic and  
2875 clerical help.

2876 Sec. 38. Section 9-453t of the general statutes is repealed and the  
2877 following is substituted in lieu thereof (*Effective from passage*):

2878 [Notwithstanding] (a) Notwithstanding any other provision of the  
2879 general statutes or any special act, and except as provided in  
2880 subsection (b) of this section, the nomination of a candidate by a major  
2881 or minor party under this chapter, for any office shall disqualify such  
2882 candidate from appearing on the ballot by nominating petition for the  
2883 same office. [, unless (1) such]

2884 (b) A candidate for a major or minor party for any office may appear  
2885 on the ballot by nominating petition for the same office, provided (1)  
2886 the petition is circulated by an existing minor party with the same

2887 party designation at the time of such nomination, [and] (2) the minor  
2888 party is otherwise qualified to nominate candidates on the same ballot,  
2889 and (3) a candidate of the minor party for the office of Governor,  
2890 Secretary of the State, State Treasurer, State Comptroller, or Attorney  
2891 General received at least fifteen thousand votes at the previous state  
2892 election for any such office.

2893 (c) Nothing in this section shall be construed to prohibit any  
2894 candidate from appearing on the ballot as the nominee of two or more  
2895 major or minor parties for the same office.

2896 Sec. 39. (NEW) (*Effective from passage*) A candidate for an office  
2897 appearing on a ballot for a state election, as defined in section 9-1 of  
2898 the general statutes, may appear on the ballot as a candidate for more  
2899 than one major or minor party, as defined in section 9-372 of the  
2900 general statutes, for the same office, provided a candidate of such  
2901 minor party for the office of Governor, Secretary of the State, State  
2902 Treasurer, State Comptroller or Attorney General received at least  
2903 fifteen thousand votes at the previous state election for any such office.

2904 Sec. 40. Section 9-250 of the general statutes is repealed and the  
2905 following is substituted in lieu thereof (*Effective from passage*):

2906 Ballots shall be printed in plain clear type and on material of such  
2907 size as will fit the tabulator, and shall be furnished by the registrar of  
2908 voters. The size and style of the type used to print the name of a  
2909 political party on a ballot shall be identical with the size and style of  
2910 the type used to print the names of all other political parties appearing  
2911 on such ballot. The name of each major party candidate for a municipal  
2912 office, as defined in section 9-372, except for the municipal offices of  
2913 state senator and state representative, shall appear on the ballot as it  
2914 appears on the registry list of the candidate's town of voting residence,  
2915 except as provided in section 9-42a. The name of each major party  
2916 candidate for a state or district office, as defined in section 9-372, or for  
2917 the municipal office of state senator or state representative shall appear  
2918 on the ballot as it appears on the certificate or statement of consent

2919 filed under section 9-388, subsection (b) of section 9-391, or section 9-  
2920 400 or 9-409. The name of each minor party candidate shall appear on  
2921 the ballot as it appears on the registry list in accordance with the  
2922 provisions of section 9-452. The name of each nominating petition  
2923 candidate shall appear on the ballot as it is verified by the town clerk  
2924 on the application filed under section 9-453b. The size and style of the  
2925 type used to print the name of a candidate on a ballot shall be identical  
2926 with the size and style of the type used to print the names of all other  
2927 candidates appearing on such ballot. Such ballot shall contain the  
2928 names of the offices and the names of the candidates arranged thereon.  
2929 The names of the political parties and party designations shall be  
2930 arranged on the ballots and followed by the word "party", either in  
2931 columns or horizontal rows as set forth in section 9-249a, immediately  
2932 adjacent to the column or row occupied by the candidate or candidates  
2933 of such political party or organization. The ballot shall be printed in  
2934 such manner as to indicate how many candidates the elector may vote  
2935 for each office, provided in the case of a town adopting the provisions  
2936 of section 9-204a, such ballot shall indicate the maximum number of  
2937 candidates who may be elected to such office from any party. If two or  
2938 more candidates are to be elected to the same office for different terms,  
2939 the term for which each is nominated shall be printed on the official  
2940 ballot as a part of the title of the office. If, at any election, one candidate  
2941 is to be elected for a full term and another to fill a vacancy, the official  
2942 ballot containing the names of the candidates in the foregoing order  
2943 shall, as a part of the title of the office, designate the term which such  
2944 candidates are severally nominated to fill. No column, under the name  
2945 of any political party or independent organization, shall be printed on  
2946 any official ballot, which contains more candidates for any office than  
2947 the number for which an elector may vote for that office.

2948 Sec. 41. Subsection (e) of section 9-7a of the general statutes is  
2949 repealed and the following is substituted in lieu thereof (*Effective from*  
2950 *passage*):

2951 (e) Notwithstanding the provisions of sections 5-266a and 5-266b, no

2952 member or employee of the commission shall (1) be a candidate in any  
 2953 primary or election, (2) hold any elected public office, [provided a  
 2954 member or employee of the commission who holds an elected public  
 2955 office as of October 1, 1994, may continue to hold such office prior to  
 2956 April 1, 1995,] (3) be a political party officer, as defined in subsection  
 2957 (a) of this section, [or] (4) be a member of a national committee of a  
 2958 political party, state central or town committee, or a person employed  
 2959 by any such committee for compensation, or (5) hold any office of any  
 2960 committee, as defined in section 9-601. The members and employees of  
 2961 the commission shall otherwise be subject to the provisions of sections  
 2962 5-266a and 5-266b."

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>from passage</i>	9-601
Sec. 2	<i>from passage</i>	9-601a
Sec. 3	<i>from passage</i>	9-601b
Sec. 4	<i>from passage</i>	9-601c
Sec. 5	<i>from passage</i>	9-606(a)
Sec. 6	<i>from passage</i>	9-607(g)(1) and (2)
Sec. 7	<i>from passage</i>	9-612
Sec. 8	<i>from passage</i>	New section
Sec. 9	<i>from passage</i>	9-621
Sec. 10	<i>from passage</i>	9-623(a)
Sec. 11	<i>from passage</i>	New section
Sec. 12	<i>from passage</i>	New section
Sec. 13	<i>from passage</i>	9-605(c)
Sec. 14	<i>from passage</i>	9-608(a)
Sec. 15	<i>from passage</i>	9-608(e)(1)
Sec. 16	<i>from passage</i>	9-610(f)(1) and (2)
Sec. 17	<i>from passage</i>	9-712(a)
Sec. 18	<i>from passage</i>	9-615(e)
Sec. 19	<i>from passage</i>	9-611(c)
Sec. 20	<i>from passage</i>	9-391
Sec. 21	<i>from passage</i>	9-406
Sec. 22	<i>from passage</i>	New section
Sec. 23	<i>from passage</i>	9-608(d)

Sec. 24	<i>from passage</i>	9-7b(a)(3)
Sec. 25	<i>from passage</i>	9-606(d)
Sec. 26	<i>from passage</i>	9-706(a)
Sec. 27	<i>from passage</i>	9-706(b)
Sec. 28	<i>from passage</i>	9-718
Sec. 29	<i>from passage</i>	9-707
Sec. 30	<i>from passage</i>	9-610(b)
Sec. 31	<i>from passage</i>	9-605(e)(3)
Sec. 32	<i>from passage</i>	9-705(e) and (f)
Sec. 33	<i>from passage</i>	9-602(a)
Sec. 34	<i>from passage</i>	3-131(b)(2)
Sec. 35	<i>from passage</i>	9-608(f)
Sec. 36	<i>from passage</i>	1-79(e)
Sec. 37	<i>from passage</i>	9-7a(a)
Sec. 38	<i>from passage</i>	9-453t
Sec. 39	<i>from passage</i>	New section
Sec. 40	<i>from passage</i>	9-250
Sec. 41	<i>from passage</i>	9-7a(e)